

# Top Quark Studies with CMS

Frank-Peter Schilling (KIT)  
on behalf of the CMS collaboration

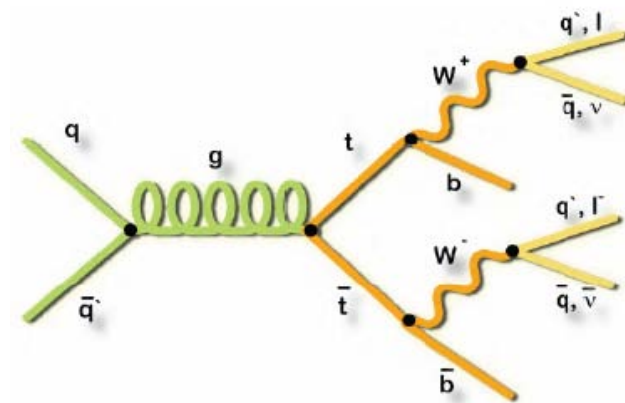
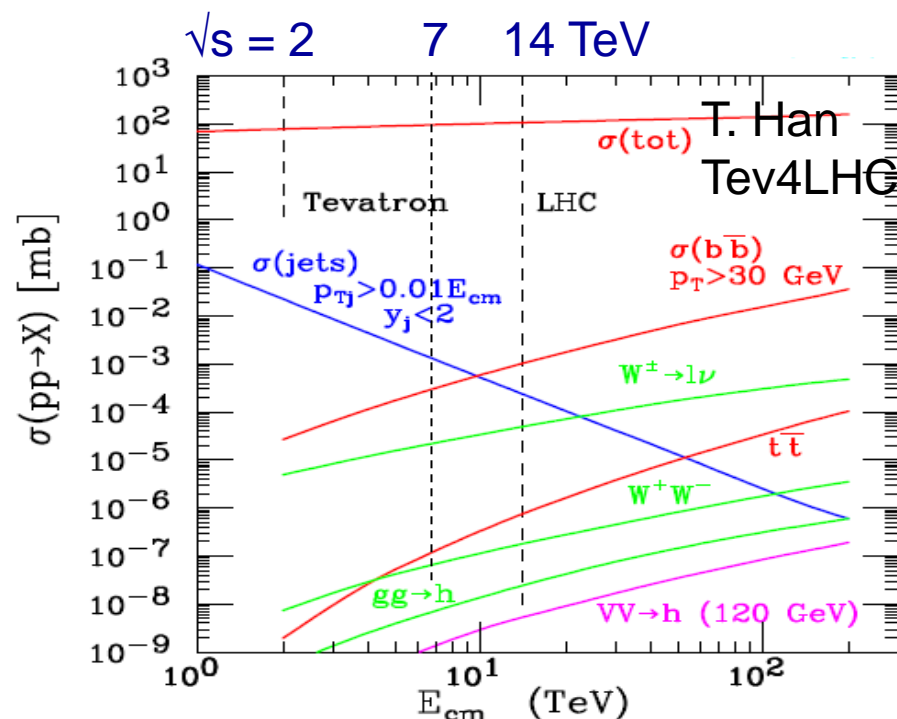
2010 Hadron  
Collider  
Physics  
Symposium

August 23-27, 2010  
University of Toronto,  
Toronto, Canada



**The TOP**

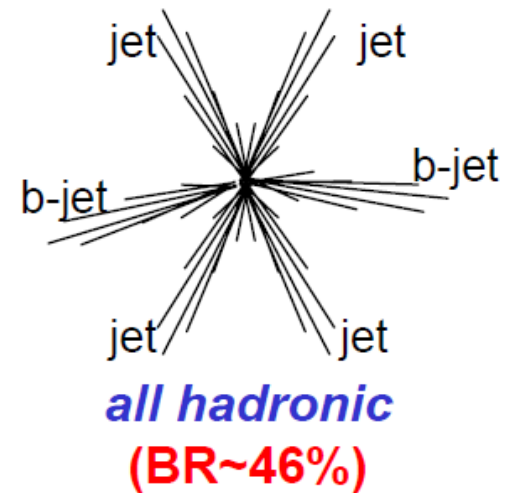
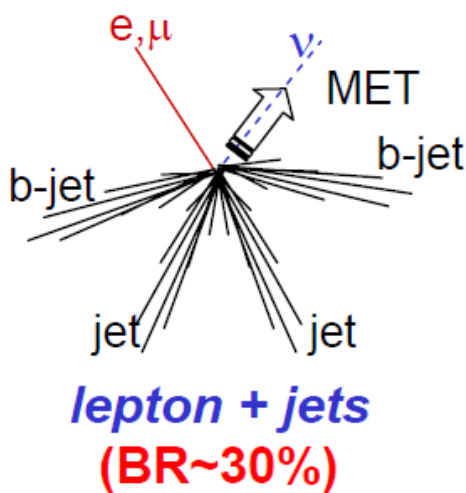
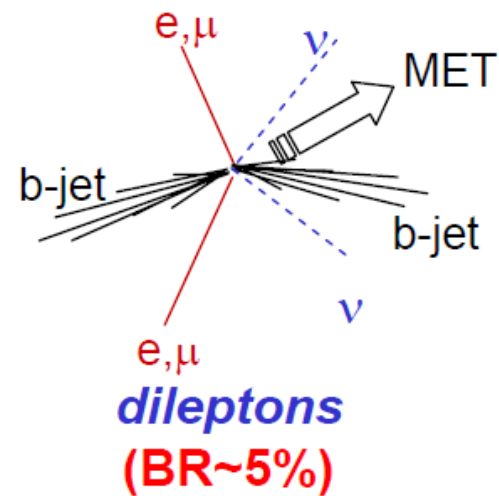
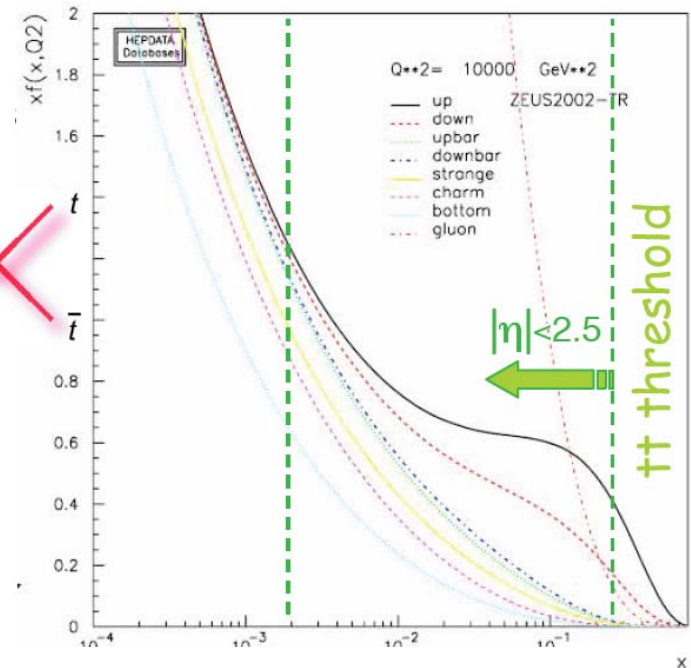
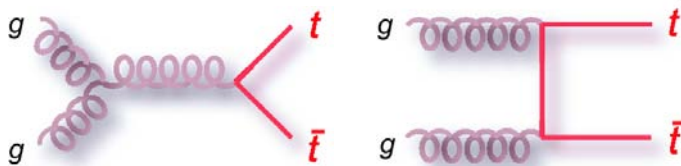
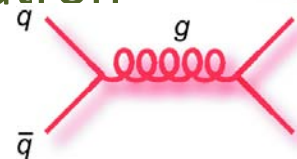
- Precise SM measurements
  - Heaviest known elementary particle (large Yukawa coupling)
  - Constraints on Higgs mass
  - Unique window on bare quarks due to short lifetime
- A window to new physics
  - New physics might couple preferentially to top
  - New particles may decay to top
  - Non-standard couplings
- In many new physics scenarios (e.g. SUSY) top is dominant BG
- Great tool to calibrate detector
  - Jet energy scale, b-jet eff.



- Pair production

- ~85% quark-induced at Tevatron

- ~85% gluon-induced at LHC



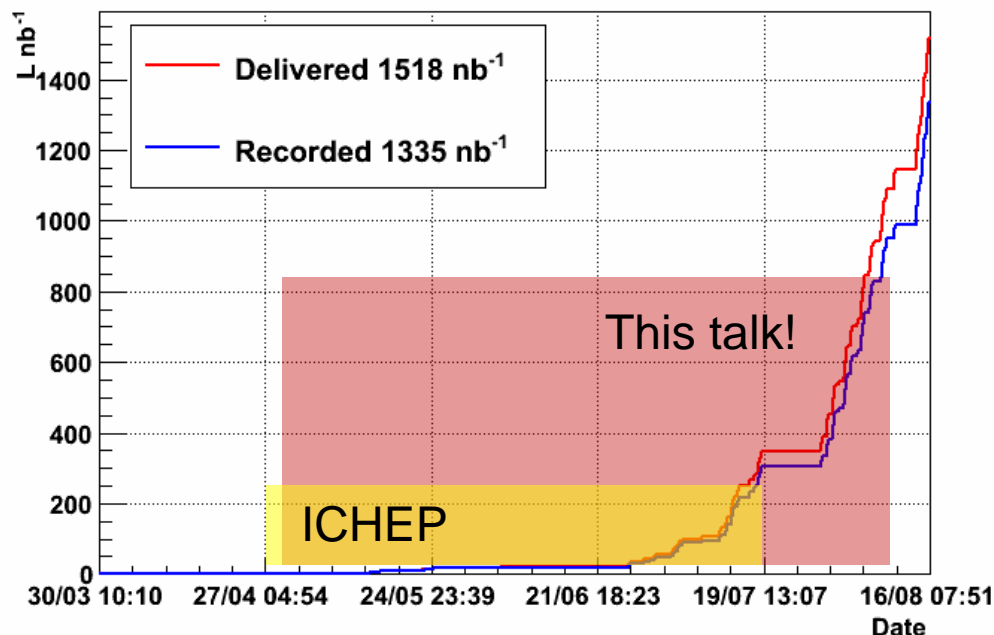
- Dataset

- $L = 840 \text{ nb}^{-1}$
- Data taken up to **11<sup>th</sup> August**,  
**certified on 13<sup>th</sup> August**
- Update of results of PAS TOP-10-004 and shown at ICHEP (up to  $250 \text{ nb}^{-1}$ )

- Monte Carlo samples

- $t\bar{t}$ +jets, W/Z+jets: Madgraph, matching ME with parton showers
  - V+bb/c(c)+jets matrix elements included
- Cross sections normalized to inclusive (N)NLO cross sections
  - $\sigma(t\bar{t}, \text{NLO}) = 157 \text{ pb}$  (MCFM),  $m_{\text{top}} = 172.5 \text{ GeV}$
  - $\sigma(W \rightarrow l\nu, \text{NNLO}) = 31314 \text{ pb}$  (FEWZ)
- QCD: PYTHIA (filtered at gen level)

CMS: Integrated Luminosity 2010

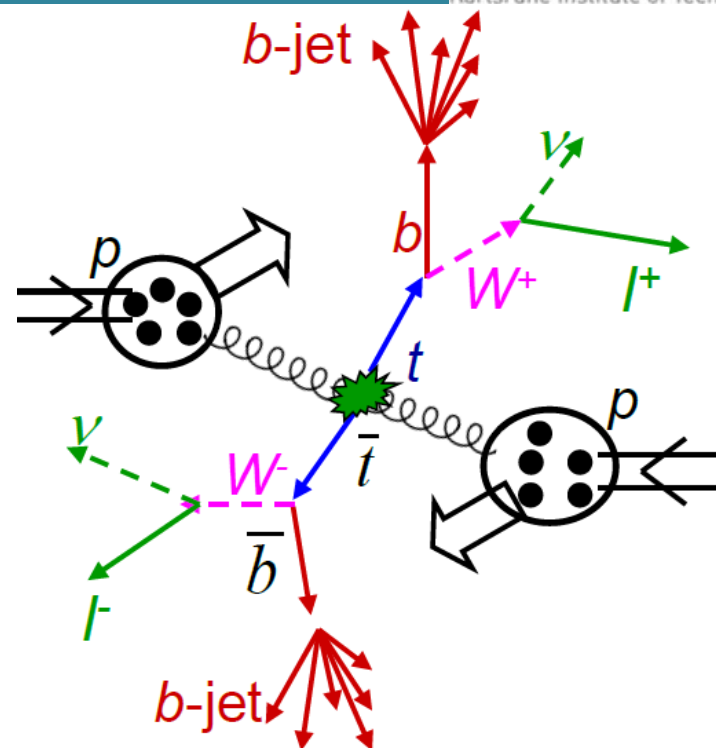


- Single lepton triggers
  - mu+X (Pt>9 GeV), e+X (Pt>15 GeV)
- Two isolated, opposite charge leptons (ee, mumu, emu)

- Pt>20 GeV,  $|\eta| < 2.5(\text{mu}), 2.4(\text{e})$
- Rel. isolation < 0.15

$$\text{Rel.isol.} = \frac{\sum_{R<0.3} p_T^{\text{track}} + \sum_{R<0.3} p_T^{\text{ECAL}} + \sum_{R<0.3} p_T^{\text{HCAL}}}{p_T(\text{lepton})}$$

- Z-boson veto (ee, mumu)
  - $|M(\text{ll}) - M(\text{Z})| > 15 \text{ GeV}$
- Missing Et (MET)
  - Using calorimeter & tracking
  - MET>30(20) GeV in ee, mumu (emu)



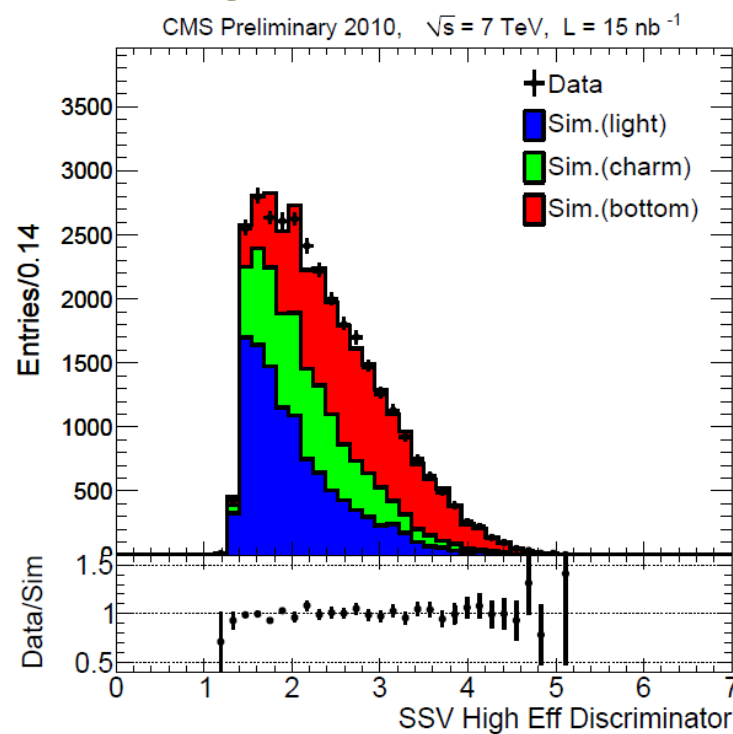
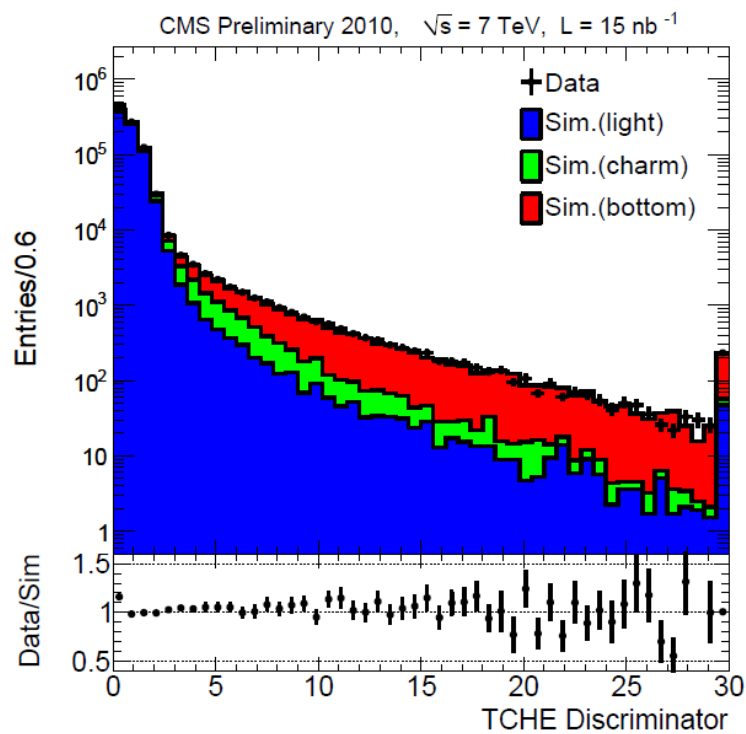
- Jets
  - Anti-Kt (R=0.5)
  - Using calorimeter & tracking
  - Pt>30 GeV,  $|\eta| < 2.4$
  - Expect  $\geq 2$  jets for ttbar

b-tagging: crucial ingredient for top physics

Use simple and robust tagging algorithms for early data

CMS PAS BTV-10-001

- “Track counting” tagger
  - Uses IP significance of n-th track as discriminator
- Secondary vertex tagger
  - Uses discriminator based on 3D flight distance



Scale factors Data/MC for efficiency / mistag rate close to one!

- Relaxed selection:

$L=0.84\text{pb}^{-1}$

- No Z-veto, no MET, N(jets) requirements

Process	$ee$	$\mu\mu$	$e\mu$
Dilepton $t\bar{t}$	$0.84 \pm 0.13 \pm 0.16$	$0.94 \pm 0.14 \pm 0.17$	$1.75 \pm 0.26 \pm 0.33$
$VV$	$0.23 \pm 0.12$	$0.25 \pm 0.13$	$0.35 \pm 0.18$
Single top - $tW$	$0.06 \pm 0.03$	$0.07 \pm 0.03$	$0.13 \pm 0.07$
Drell-Yan $\tau\tau$	$0.6 \pm 0.3$	$0.7 \pm 0.4$	$1.3 \pm 0.7$
Drell-Yan $ee, \mu\mu$	$298 \pm 74$	$343 \pm 86$	$0.1 \pm 0.1$
Non-dilepton $t\bar{t}$	$0.02 \pm 0.01$	$0.004 \pm 0.002$	$0.03 \pm 0.02$
$W$ +jets	$0.3 \pm 0.1$	$0.01 \pm 0.01$	$0.3 \pm 0.2$
QCD multijets	$0^{+10}_{-0}$	$0.00^{+10}_{-0}$	$0^{+10}_{-0}$
Total simulated	$300 \pm 74$	$345 \pm 86$	$4.0 \pm 0.8$
Data	305	294	6

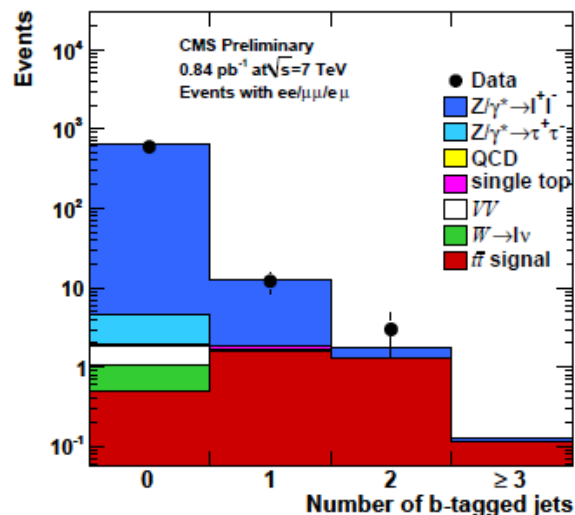
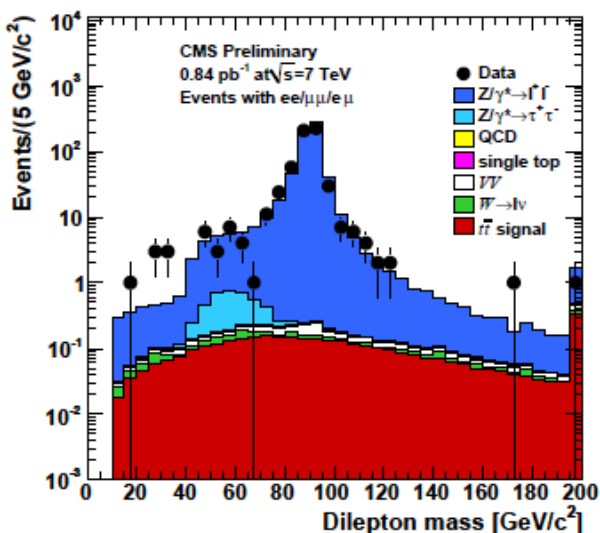
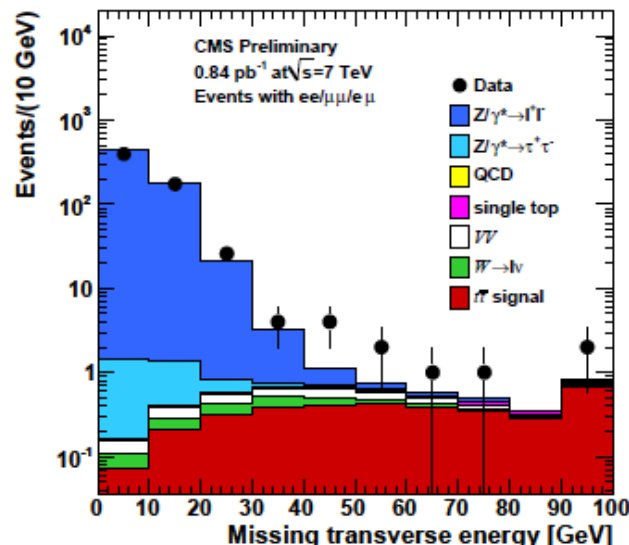
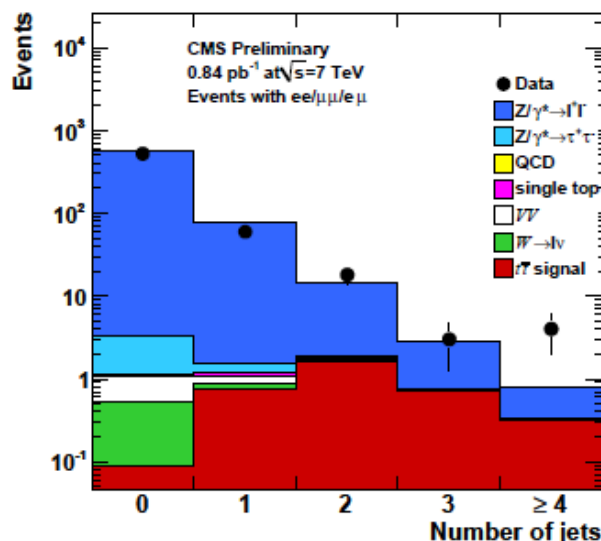
## Systematics:

- Signal and DY: 15% acc\*eff (conservative), 15% theory, 11% lumi
- Other backgrounds: 50% (conservative)

Good agreement observed!

- No Z-veto, no MET, N(jets) requirements

$L=0.84\text{pb}^{-1}$

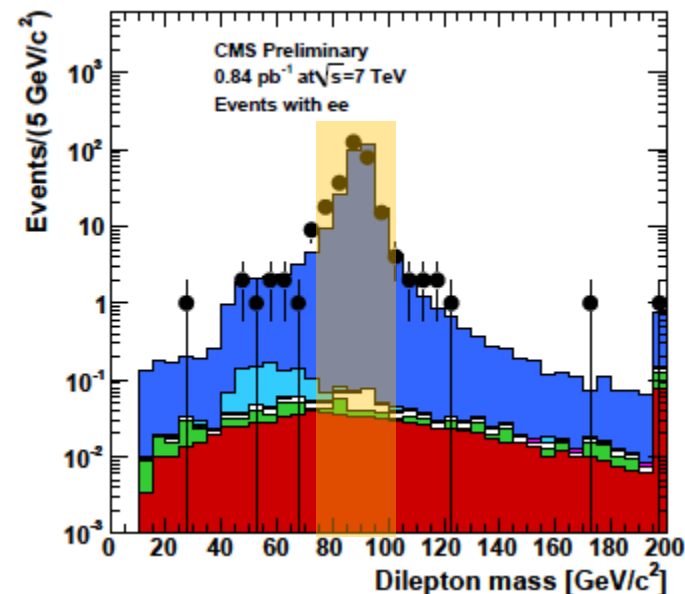


Rightmost bins contain overflow

Estimate Drell-Yan background outside  
Z-veto region from events inside:

ratio outside/inside from  
DY simulation

$$N_{\text{out}}^{ee,\text{data}} = \underbrace{R_{\text{out/in}}^{ee}}_{\text{ratio outside/inside from DY simulation}} \left( N_{\text{in}}^{ee,\text{data}} - \underbrace{0.5 N_{\text{in}}^{e\mu,\text{data}} k_{ee}}_{\text{correction for non-DY contribution in Z-veto region from } e\mu \text{ sample}} \right)$$



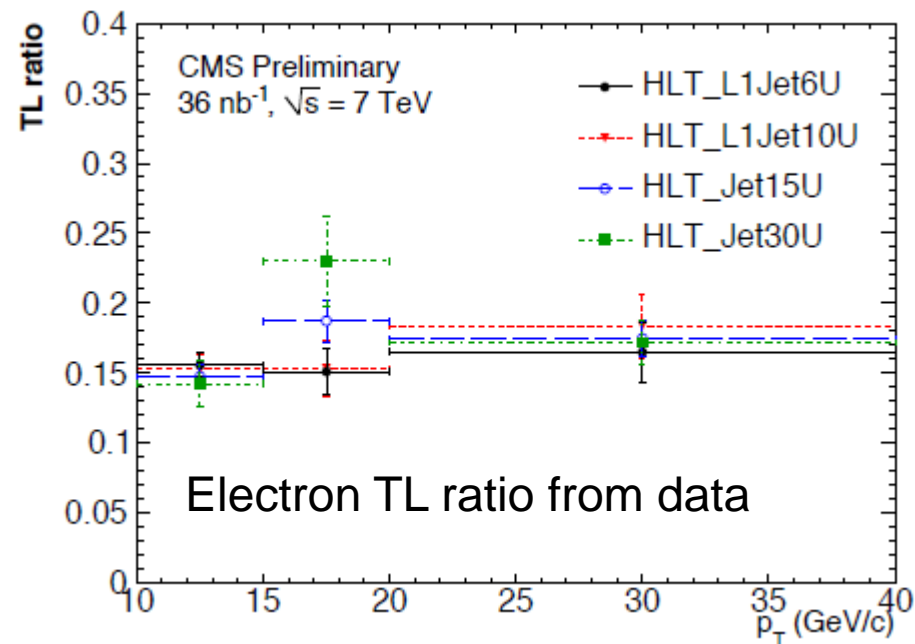
Sample	ID, ISO, Z-veto	with $N_{\text{jet}} \geq 1$	with $N_{\text{jet}} \geq 2$ and $\cancel{E}_T > 30$ GeV
<i>ee</i>			
DY in simulation	$26 \pm 6$	$4.2 \pm 1.1$	$0.04 \pm 0.01$
DY estimate in data	$26 \pm 1.6 \pm 13$	$3.6 \pm 0.6 \pm 1.8$	$0.4 \pm 0.2 \pm 0.2$
<i><math>\mu\mu</math></i>			
DY in simulation	$31 \pm 8$	$5.0 \pm 1.2$	$0.07 \pm 0.02$
DY estimate in data	$27 \pm 1.6 \pm 13$	$4.3 \pm 0.7 \pm 2.1$	$0.21^{+0.23}_{-0.21} \pm 0.11$

Agreement between simulation and data-driven estimate

- “Fake” lepton backgrounds:
  - W+jets : one fake lepton
  - QCD: two fake leptons
- Determine a ‘tight-to-loose ratio’ (TL) in jet-triggered sample
- Apply to events where one (both) leptons pass loose, but fail tight lepton selection
- Weighed sum yields background estimate
- 50% systematics per “fake” lepton

$$N_{nn}^{QCD} = \sum_{i,j} \frac{TL_i TL_j}{(1 - TL_i)(1 - TL_j)} N_{nn}^{ij}$$

$$N_{nn}^{W Jets} = \sum_{i,j} \frac{TL_i}{(1 - TL_i)} N_{nn}^{ij}$$



- Z-veto,  $N(\text{jets}) \geq 1$

$L=0.84\text{pb}^{-1}$

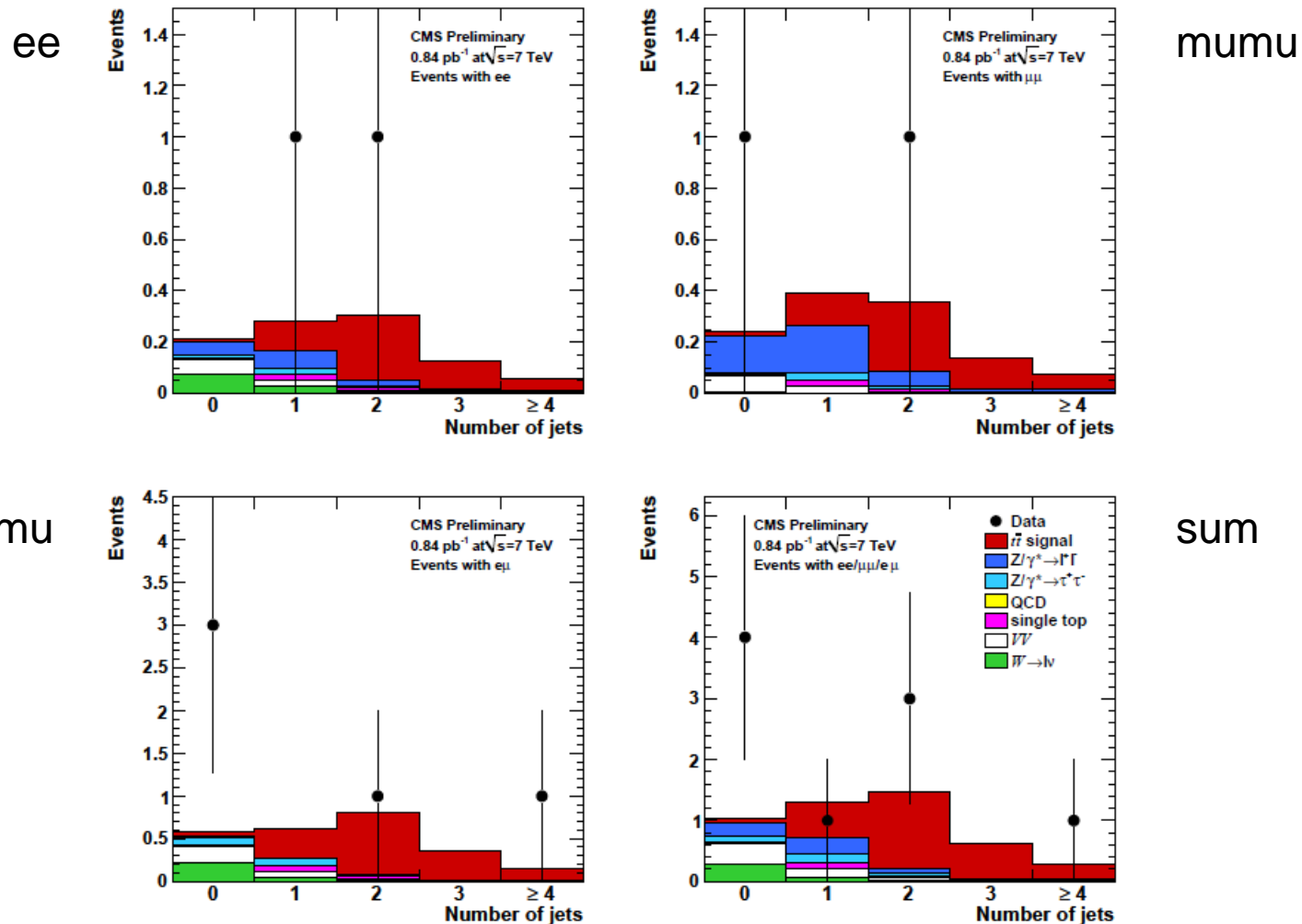
Sample	$ee$	$\mu\mu$	$e\mu$
Dilepton $t\bar{t}$	$0.63 \pm 0.09 \pm 0.12$	$0.70 \pm 0.11 \pm 0.13$	$1.70 \pm 0.26 \pm 0.32$
$VV$	$0.05 \pm 0.03$	$0.05 \pm 0.03$	$0.12 \pm 0.06$
Single top - $tW$	$0.04 \pm 0.02$	$0.05 \pm 0.03$	$0.12 \pm 0.06$
Drell-Yan $\tau\tau$	$0.08 \pm 0.04$	$0.13 \pm 0.07$	$0.19 \pm 0.09$
Drell-Yan $ee, \mu\mu$	$4.2 \pm 1.1$	$5.0 \pm 1.2$	$0.04 \pm 0.02$
Non-dilepton $t\bar{t}$	$0.02 \pm 0.01$	$0.003 \pm 0.002$	$0.03 \pm 0.02$
W+jets	$0.06 \pm 0.03$	$0.000^{+0.002}_{-0.000}$	$0.07 \pm 0.04$
QCD multijets	$0^{+10}_{-0}$	$0^{+10}_{-0}$	$0^{+10}_{-0}$
Total simulated	$5.1 \pm 1.1$	$5.9 \pm 1.2$	$2.3 \pm 0.4$
QCD data-driven	$0.0^{+0.1}_{-0.0}^{+0.1}_{-0.0}$	$0.0^{+0.2}_{-0.0}^{+0.2}_{-0.0}$	$0.0^{+0.1}_{-0.0}^{+0.1}_{-0.0}$
W+jets data-driven	$0.2^{+0.2}_{-0.0}^{+0.1}_{-0.0}$	$0.0^{+0.4}_{-0.0}^{+0.2}_{-0.0}$	$0.0^{+0.4}_{-0.0}^{+0.2}_{-0.0}$
Drell-Yan data-driven	$3.6 \pm 0.6 \pm 1.8$	$4.3 \pm 0.7 \pm 2.1$	N/A
Data	6	6	2

## Systematics:

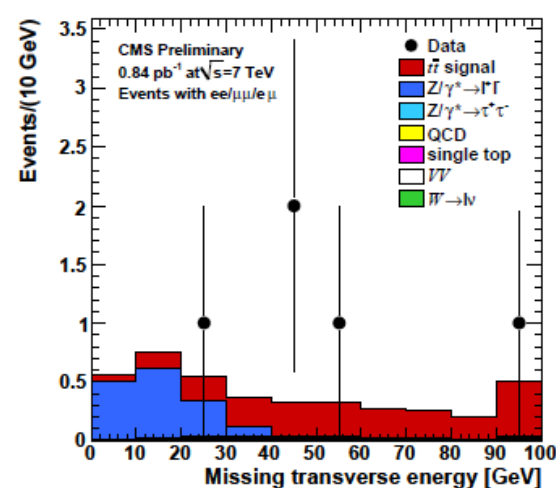
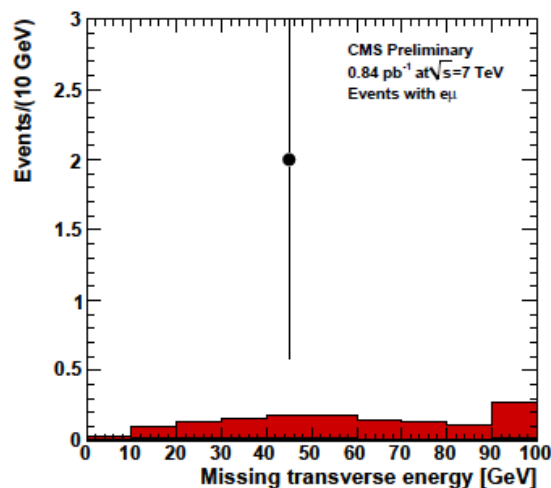
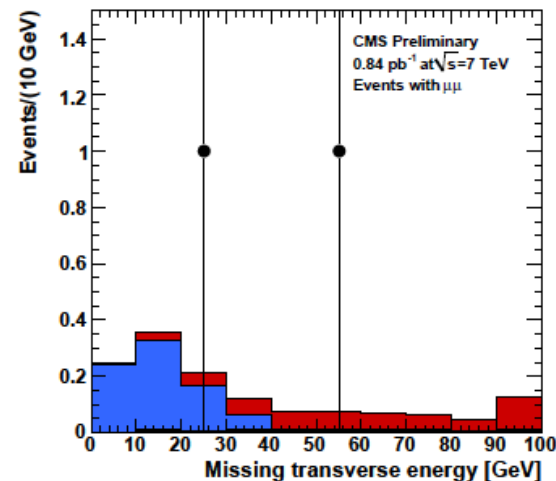
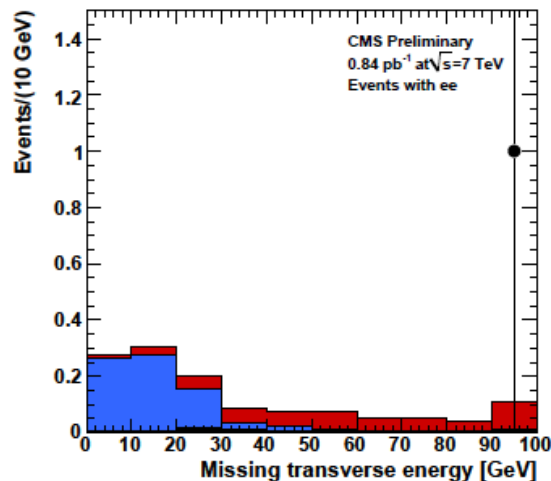
- Signal and DY: 15% acc\*eff (conservative), 15% theory, 11% lumi
- Other backgrounds: 50% (conservative)
- Data-driven backgrounds: DY, Wjets: 50%; QCD: 100%

Good agreement observed!

- N(jets), with Z-Veto, MET requirement applied

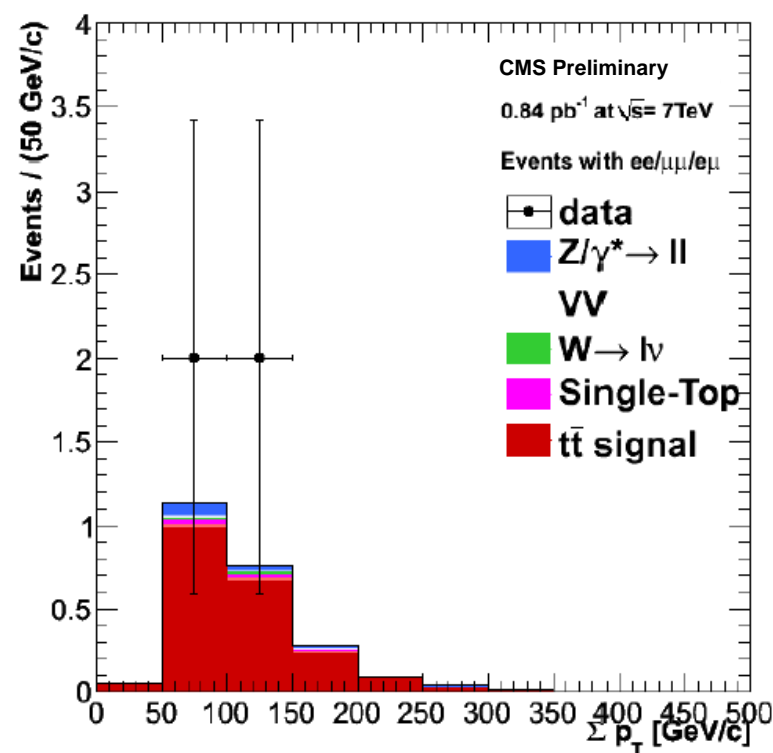
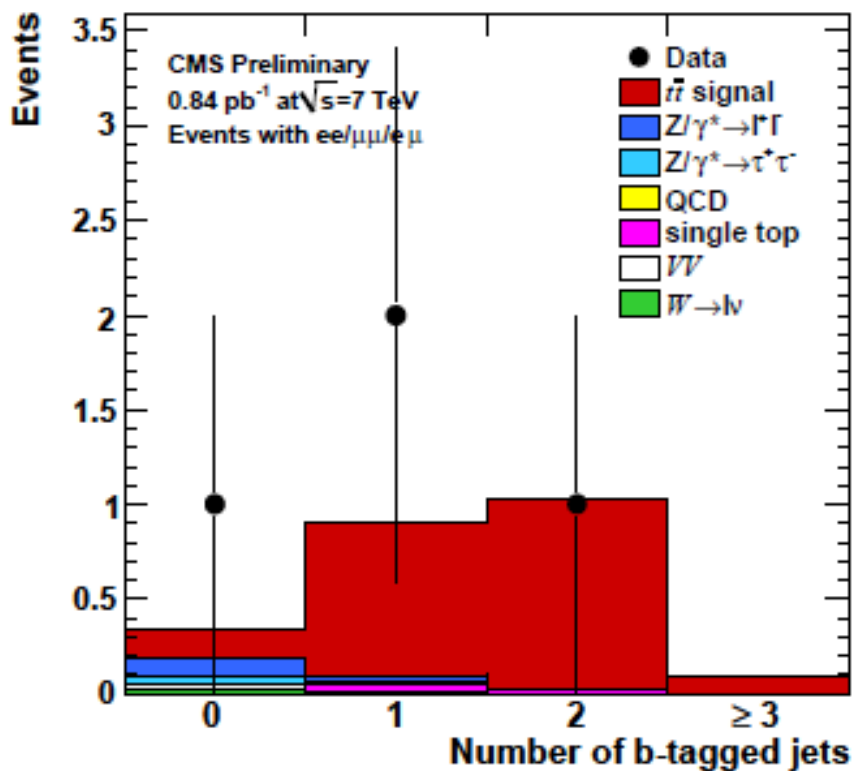


- MET, with Z-veto,  $N(\text{jets}) \geq 2$  requirements applied



- All cuts applied: Z-Veto, MET,  $N(\text{jets}) \geq 2$

$L=0.84\text{pb}^{-1}$



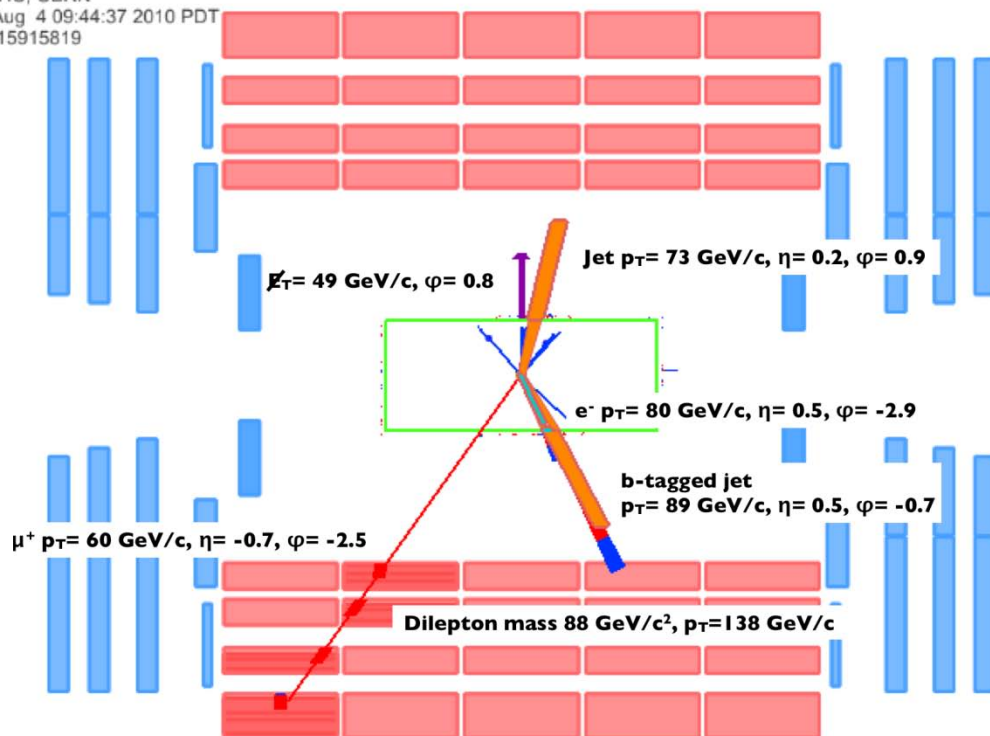
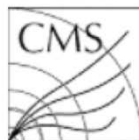
Track-counting tagger

4 clean  $t\bar{t}$  candidates observed  
~2.1 signal events expected

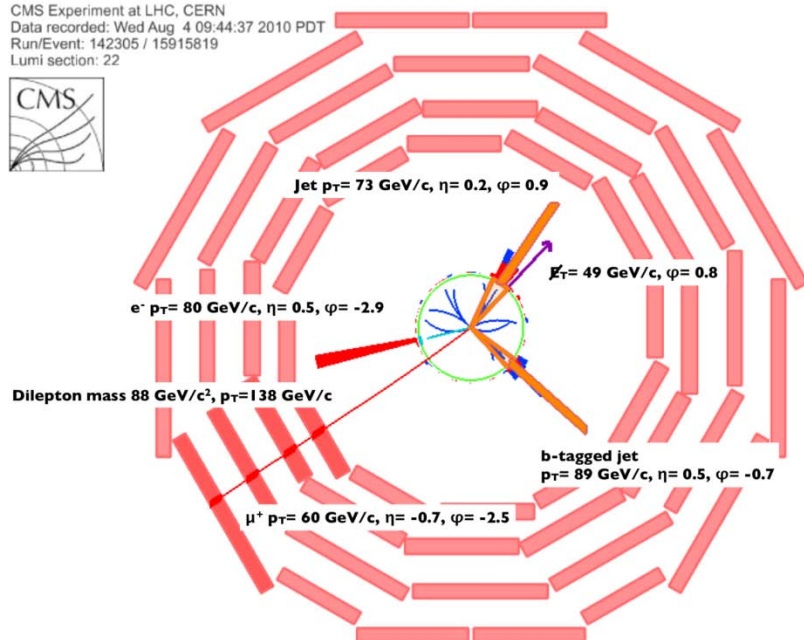
# A beautiful e-mu candidate

Muon  $p_T=60$  GeV  
Electron  $p_T=80$  GeV  
2 jets, 1 b-tag  
MET=49 GeV

CMS Experiment at LHC, CERN  
Data recorded: Wed Aug 4 09:44:37 2010 PDT  
Run/Event: 142305 / 15915819  
Lumi section: 22



CMS Experiment at LHC, CERN  
Data recorded: Wed Aug 4 09:44:37 2010 PDT  
Run/Event: 142305 / 15915819  
Lumi section: 22

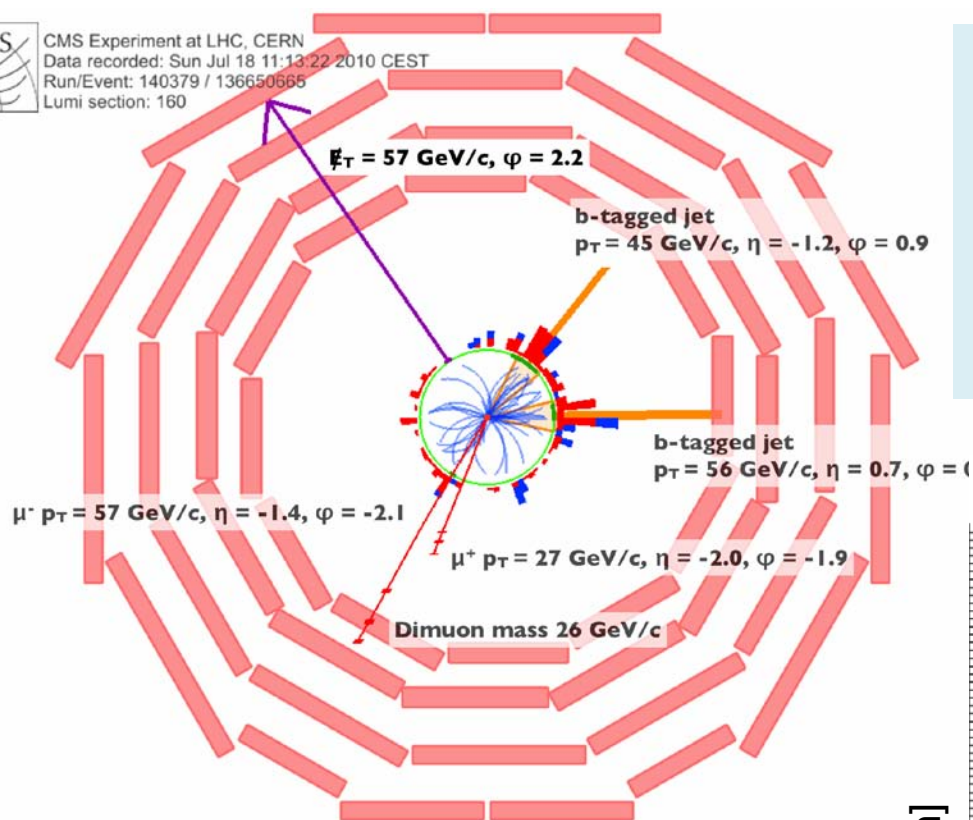


Mass hypothesis consistent with  
being a  $t\bar{t}$  event

# Dimuon event with 2 b-tags



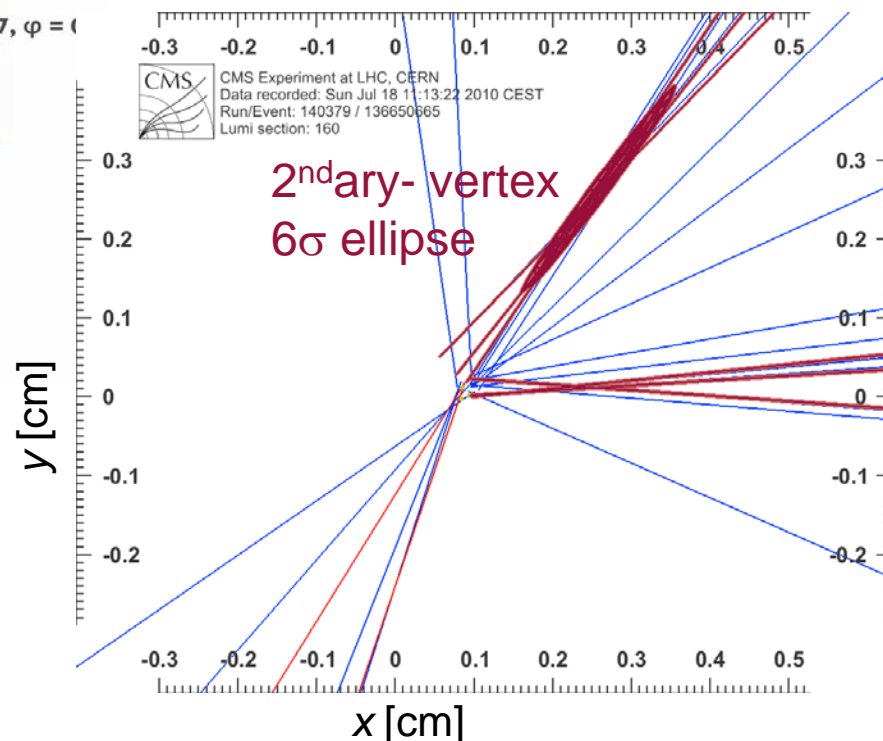
CMS Experiment at LHC, CERN  
Data recorded: Sun Jul 18 11:13:22 2010 CEST  
Run/Event: 140379 / 136650665  
Lumi section: 160



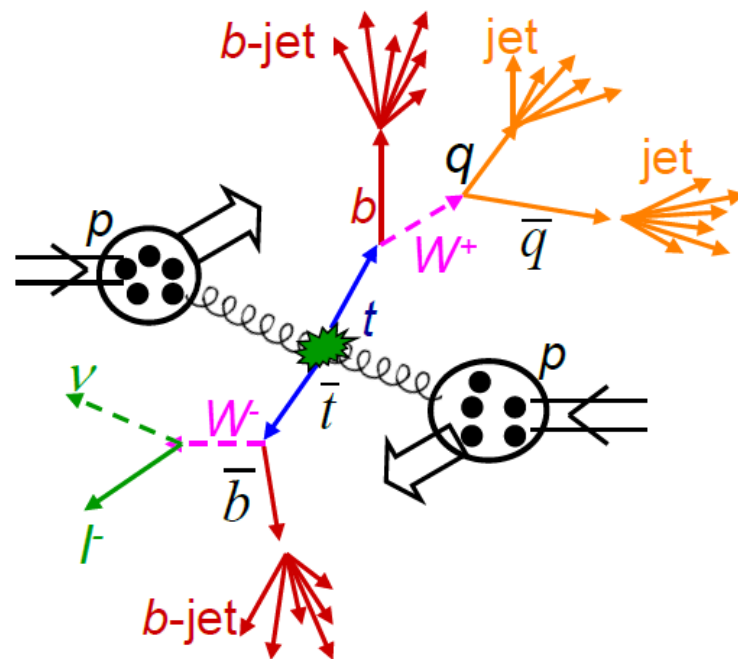
Preliminarily reconstr. mass in the range 160–220 GeV/c<sup>2</sup>

Event passes full selection:

2 muons with opposite charge  
2 jets, both w/ good/clear *b*-tags  
(and secondary vertices!)  
significant MET (>50 GeV)



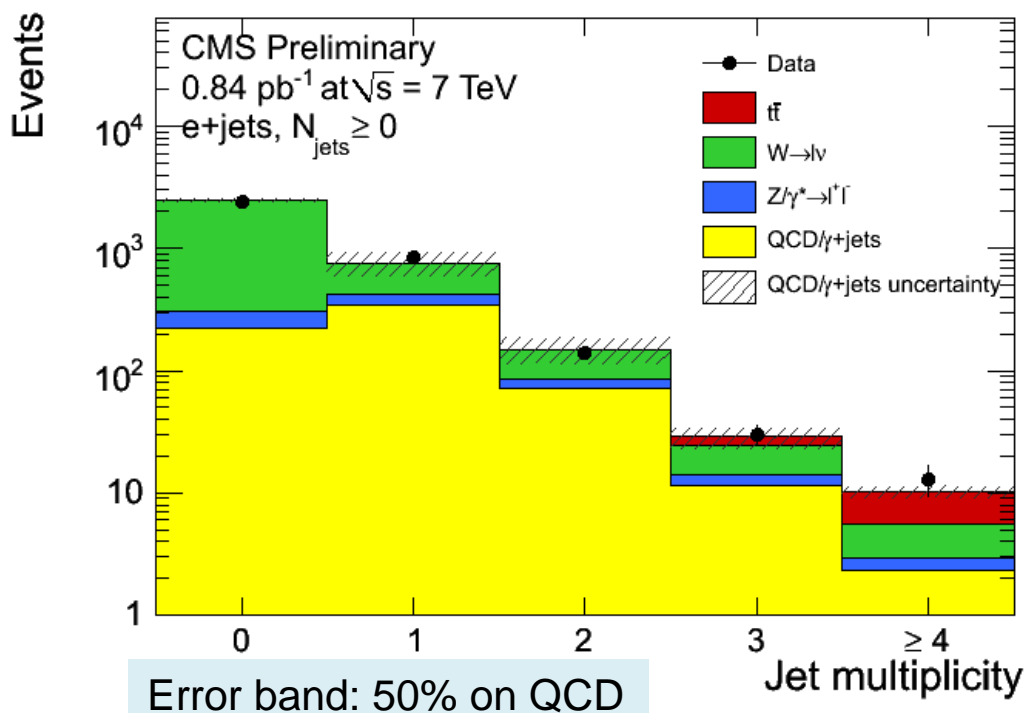
- Considered modes:
  - e+jets
  - mu+jets
- Single lepton triggers
- Exactly one isolated lepton
  - Muons:  $P_t > 20 \text{ GeV}$ ,  $|\eta| < 2.1$ 
    - Rel. Isolation  $< 0.05$
  - Electrons:  $P_t > 30 \text{ GeV}$ ,  $|\eta| < 2.4$ 
    - Rel. Isolation, conversion veto
- Missing Et (MET)
  - Not used in event selection, but to reconstruct transverse Mass



- Jets
  - Anti-Kt ( $R=0.5$ )
  - $P_t > 30 \text{ GeV}$ ,  $|\eta| < 2.4$
  - Expect  $\geq 4$  jets for  $t\bar{t}$
  - No b-tagging in baseline selection

No b-tagging, no MET cut applied

Jet multiplicity	$t\bar{t}$	single top	W+jets	Z+jets	QCD	Sum MC	Data
$N_{\text{jets}} \geq 0$	$12 \pm 2$	$3.4 \pm 0.4$	$2619 \pm 317$	$180 \pm 21$	$658 \pm 73$	$3472 \pm 326$	3434
$N_{\text{jets}} \geq 1$	$12 \pm 2$	$3.1 \pm 0.4$	$419 \pm 77$	$92 \pm 11$	$436 \pm 62$	$962 \pm 99$	1022
$N_{\text{jets}} \geq 2$	$11 \pm 2$	$1.9 \pm 0.3$	$74 \pm 18$	$19 \pm 5$	$85 \pm 22$	$191 \pm 29$	183
$N_{\text{jets}} \geq 3$	$8.9 \pm 1.8$	$0.70 \pm 0.14$	$13 \pm 4$	$3.3 \pm 1.0$	$14 \pm 5$	$40 \pm 7$	43
$N_{\text{jets}} \geq 4$	$4.8 \pm 1.2$	$0.21 \pm 0.06$	$2.6 \pm 1.1$	$0.60 \pm 0.23$	$2.3 \pm 1.1$	$11 \pm 2$	13



## MC Uncertainties (table):

- Jet energy scale (known to 10%)
- Luminosity (known to 11%)
- Cross section unc. (scale, PDF)

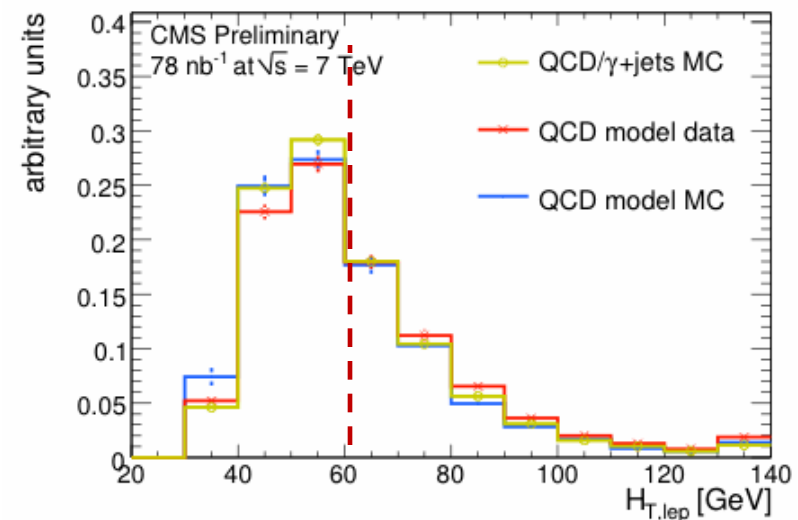
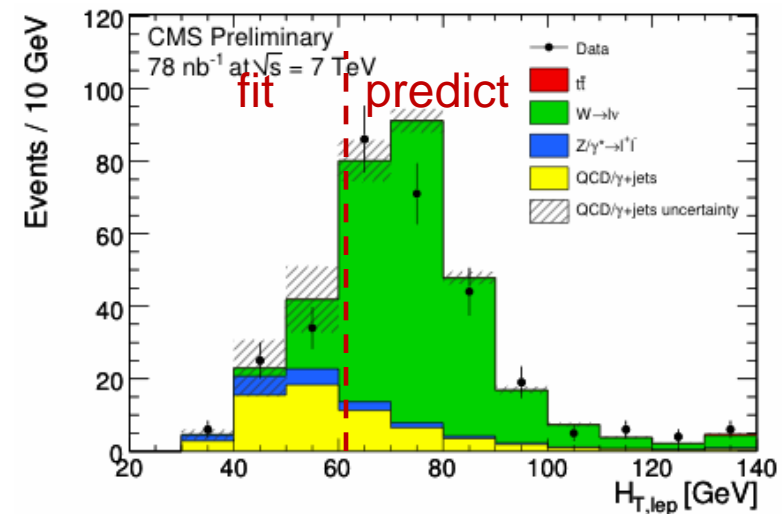
$$L=0.84\text{pb}^{-1}$$

Good agreement observed  
in all Jet bins!

- First tests of DD methods in low  $N(\text{jets})$  bins
- e+jets: Fit sum of templates in low MET or HT(lep) region
  - QCD template from multijet sample (near-miss electrons or large EM jets)
- Predict  $N(\text{QCD})$  in signal region

$N(\text{jets}) \geq 0$	QCD MC	QCD estimate
MET > 25 GeV	12.2 $\pm$ 0.2	19 $\pm$ 7
HT(lep) > 60 GeV	26.0 $\pm$ 0.3	39 $\pm$ 11

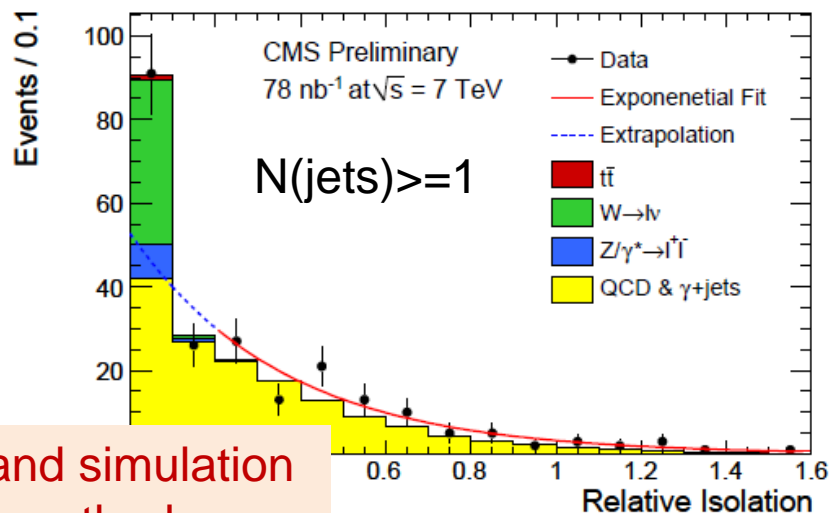
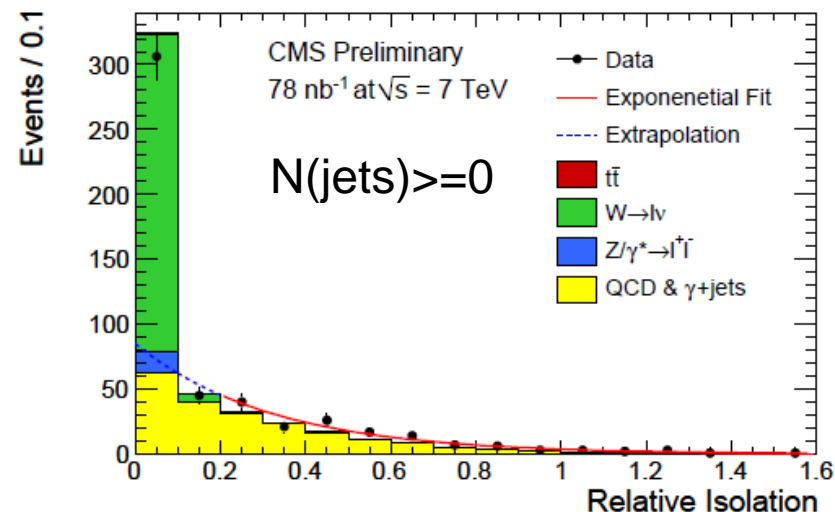
$N(\text{jets}) \geq 1$	QCD MC	QCD estimate
MET > 25 GeV	5.3 $\pm$ 0.1	8 $\pm$ 5
HT(lep) > 60 GeV	12.4 $\pm$ 0.2	10 $\pm$ 4



e+jets: consistent with MC  
mu+jets: see later

- Fit function to isolation distribution in non-isolated (QCD dominated) region
- Extrapolate to isolated (W-like) region

Isolation extrapolation method ( $e+jets$ )		
Fit Range	$N_{QCD}^{est.}(\geq 0-jet)$	$N_{QCD}^{est.}(\geq 1-jet)$
0.1–1.6	$67 \pm 9$	$40 \pm 6$
0.2–1.6	$73 \pm 13$	$46 \pm 9$
0.3–1.6	$71 \pm 17$	$45 \pm 12$
Average $N_{QCD}^{est.}$	$70 \pm 35$	$44 \pm 22$
Prediction $N_{QCD}^{MC}$	$63 \pm 7$	$42 \pm 6$

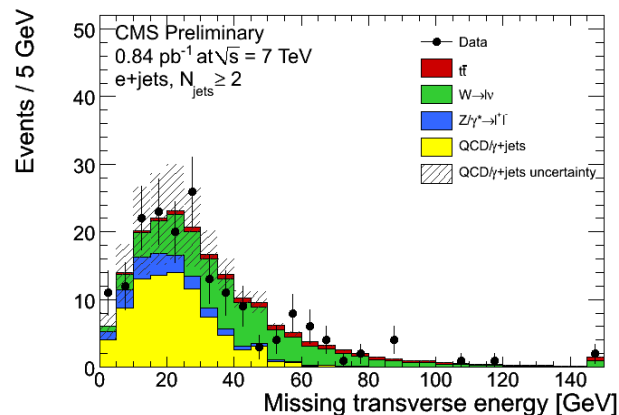
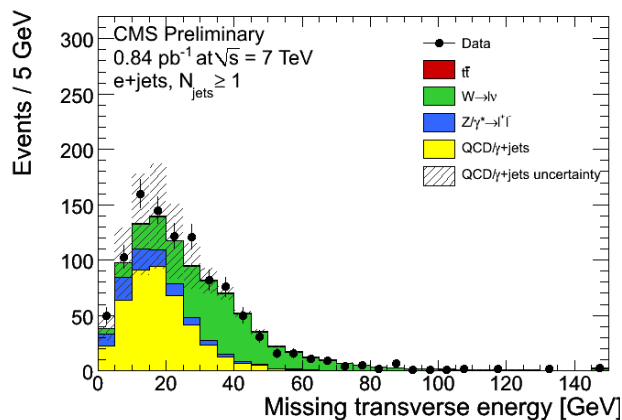
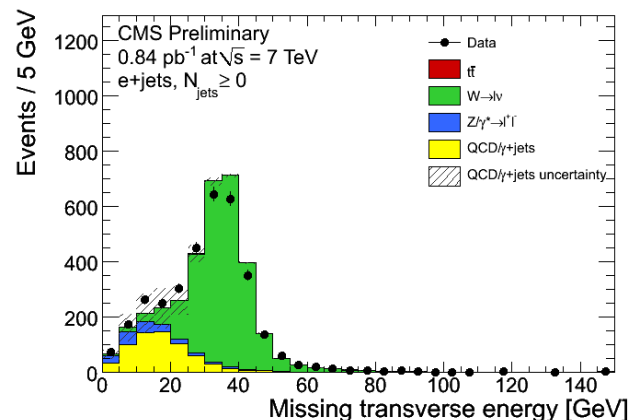


Agreement between data-driven QCD estimate and simulation  
More importantly: result consistent with template method  
(NB no MET, HT cuts applied here!)

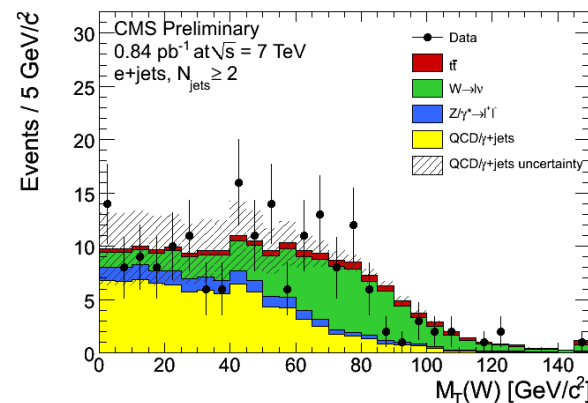
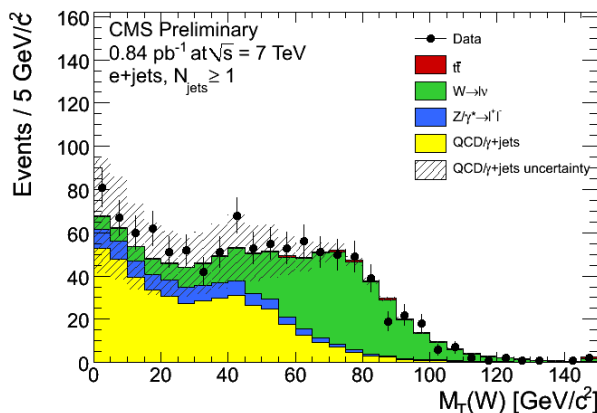
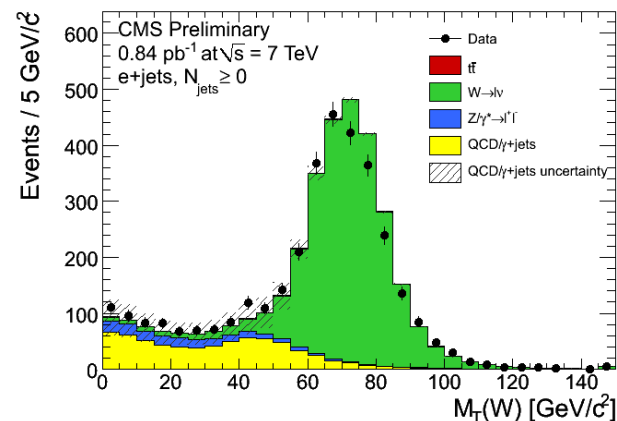
$N(\text{jets}) \geq 0$

$N(\text{jets}) \geq 1$

$N(\text{jets}) \geq 2$   $L=0.84\text{pb}^{-1}$



Missing ET (hard to get right, important for any top quark measurement)

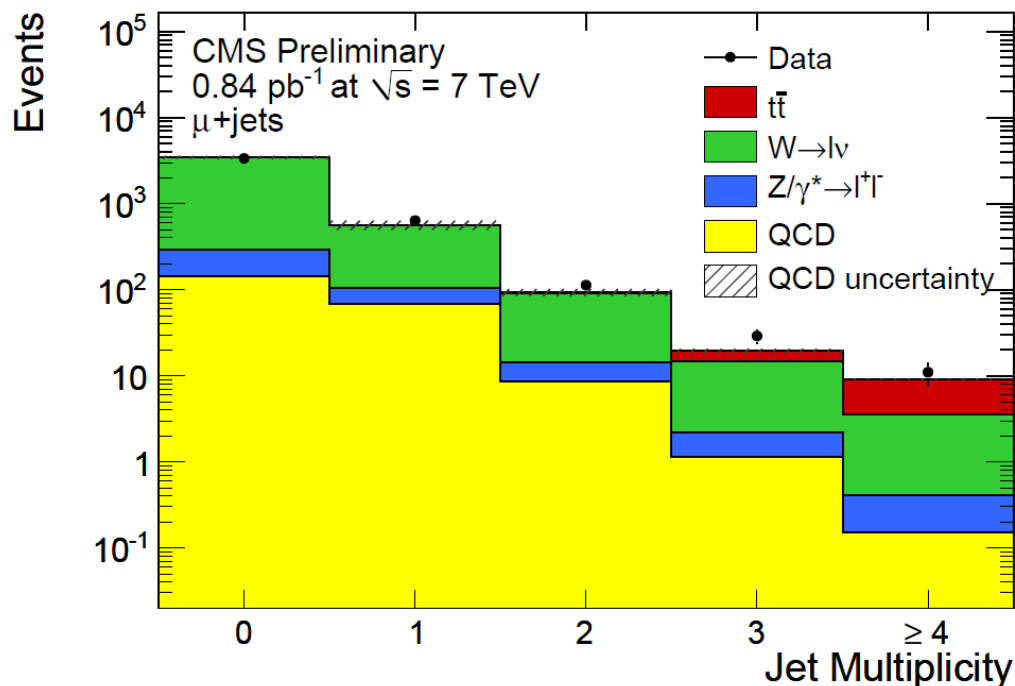


$M_T(W)$ : transverse W mass (calculated from lepton+MET)

Good agreement Data-Simulation! QCD background important in e+jets!

No b-tagging, no MET cut applied

Jet multiplicity	$t\bar{t}$	single top	W+jets	Z+jets	QCD	Sum MC	Data
$N_{\text{jets}} \geq 0$	$13 \pm 3$	$4.2 \pm 0.4$	$3708 \pm 448$	$192 \pm 29$	$223 \pm 25$	$4140 \pm 450$	4142
$N_{\text{jets}} \geq 1$	$13 \pm 3$	$3.9 \pm 0.4$	$552 \pm 106$	$42 \pm 12$	$79 \pm 17$	$690 \pm 108$	789
$N_{\text{jets}} \geq 2$	$13 \pm 2$	$2.3 \pm 0.3$	$92 \pm 24$	$7.1 \pm 4.4$	$10 \pm 3$	$124 \pm 25$	153
$N_{\text{jets}} \geq 3$	$10 \pm 2$	$0.82 \pm 0.15$	$16 \pm 5$	$1.3 \pm 0.9$	$1.3 \pm 0.5$	$29 \pm 5$	40
$N_{\text{jets}} \geq 4$	$5.6 \pm 1.4$	$0.24 \pm 0.06$	$3.1 \pm 1.2$	$0.25 \pm 0.18$	$0.15 \pm 0.07$	$9.3 \pm 1.9$	11



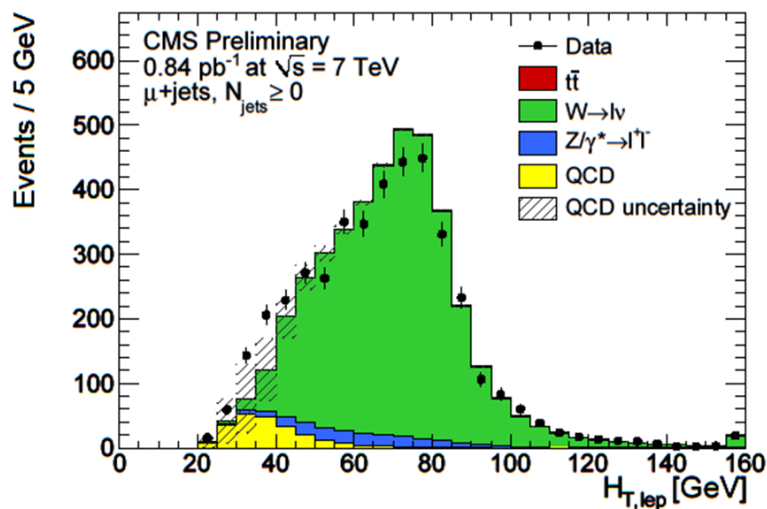
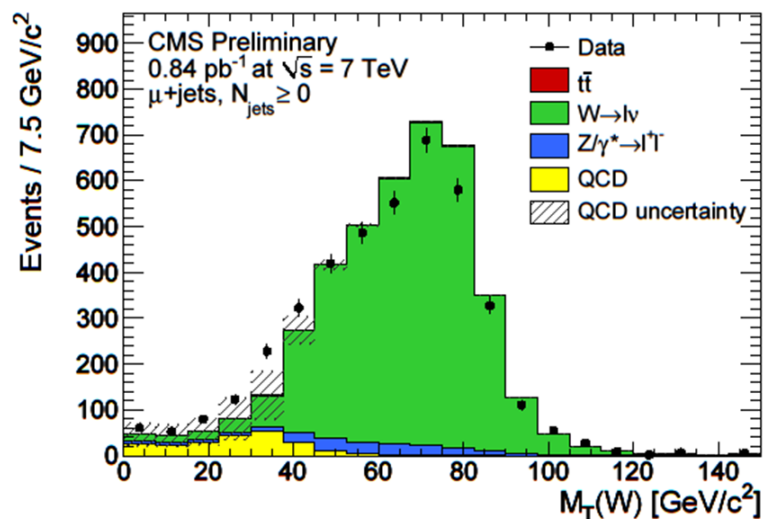
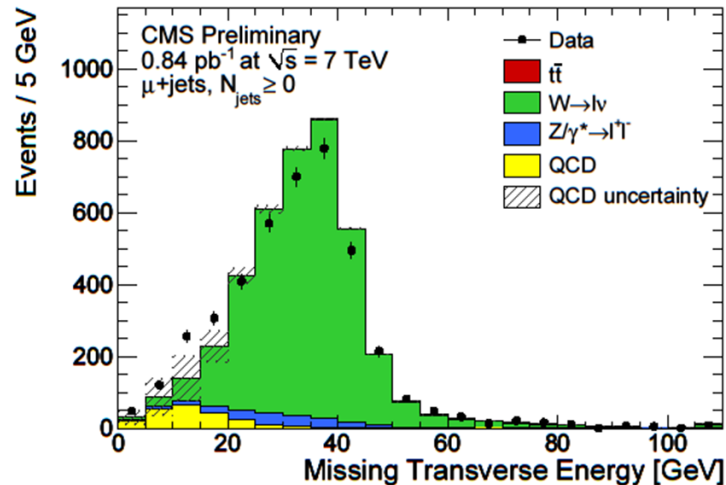
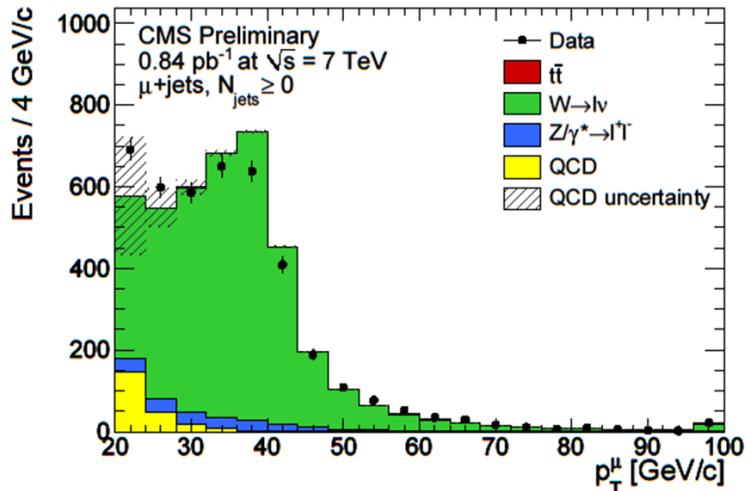
## MC Uncertainties (table):

- Jet energy scale (known to 10%)
- Luminosity (known to 11%)
- Cross section unc. (scale, PDF)

$L=0.84\text{pb}^{-1}$

Good agreement observed  
in all Jet bins!

# $\mu + \text{jets}, N(\text{jets}) \geq 0$

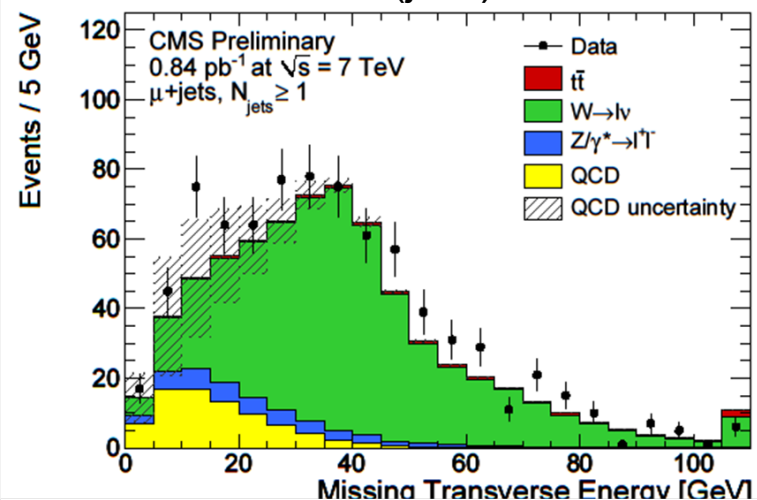


Excess observed in data at low  $P_t(\mu)$ , MET, MT and HT  
Consistent with QCD MC being factor  $\sim 2$  too low

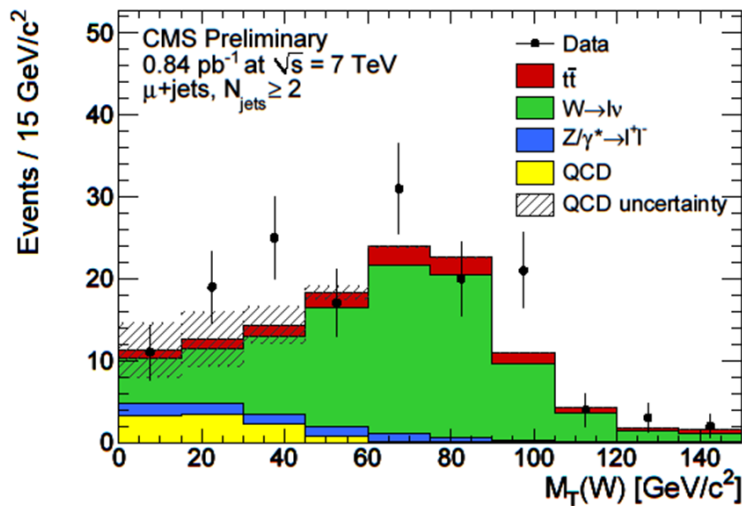
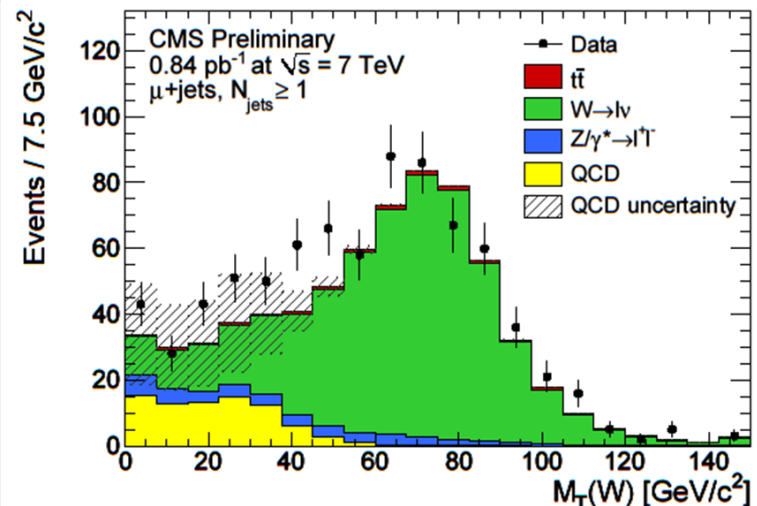
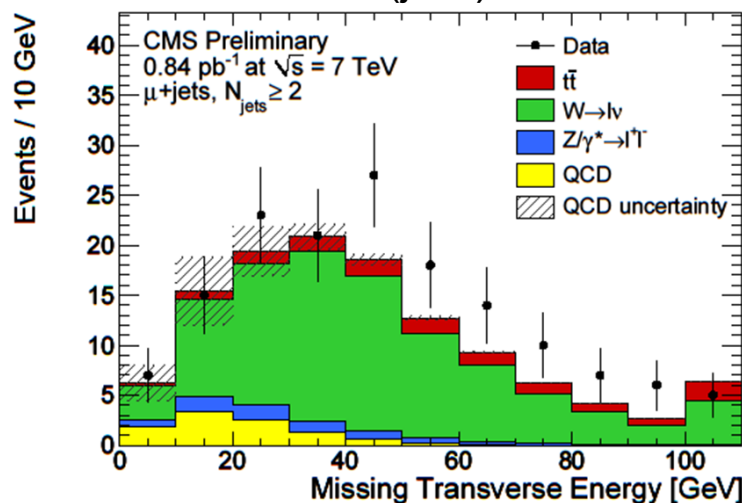
Error band: 100% on QCD  
(from data-driven methods)

# mu+jets, $N(\text{jets}) \geq 1, 2$

$N(\text{jets}) \geq 1$



$N(\text{jets}) \geq 2$



Consistent with QCD too low by factor  $\sim 2$ , indep. of  $N(\text{jets})$

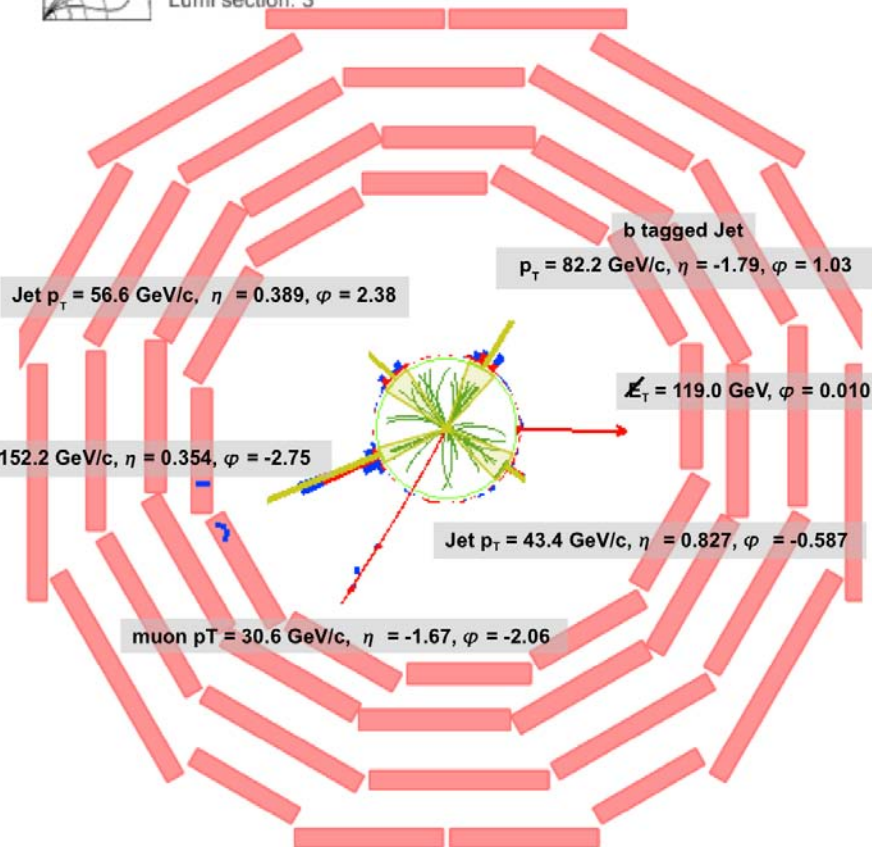
Data slightly above MC also where QCD less important

Note: expect significant JES & theory uncertainties (not incl. in error bars!)

# A beautiful mu+jets candidate



CMS Experiment at LHC, CERN  
Data recorded: Wed Jul 14 03:32:41 2010 CEST  
Run/Event: 140124 / 1749068  
Lumi section: 3



reconst. top mass around 210 GeV/c<sup>2</sup>

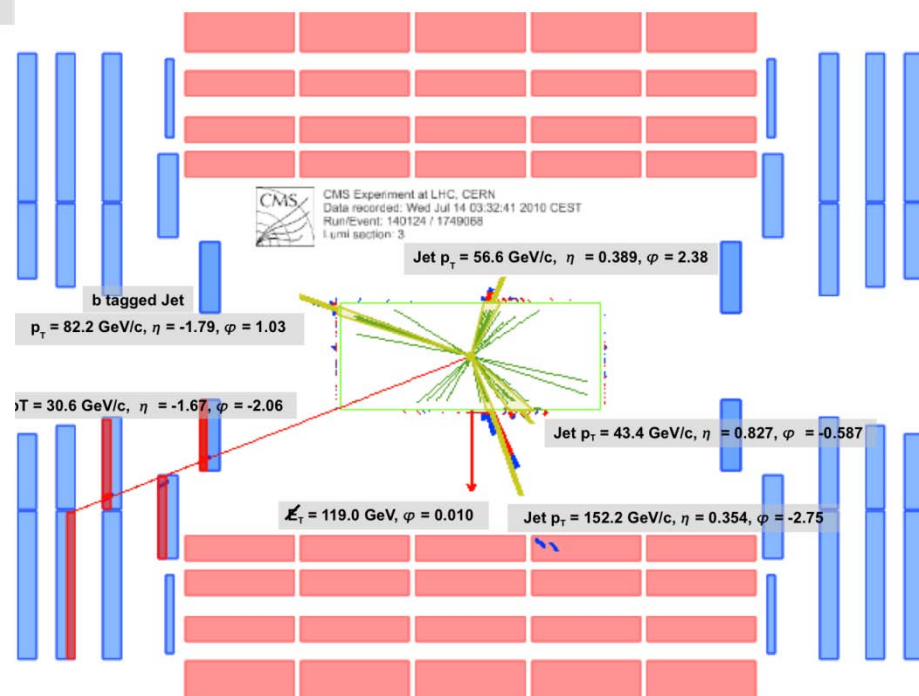
masses of 2 untagged jets (3 possible comb.): 104, 105, 151 GeV/c<sup>2</sup>

Event passes all cuts  
of full selection

1 high-momentum muon  
significant MET > 100 GeV

$m_T(W) = 104$  GeV/c<sup>2</sup>

4 high- $p_T$  jets,  
one of which with good  $b$ -tag



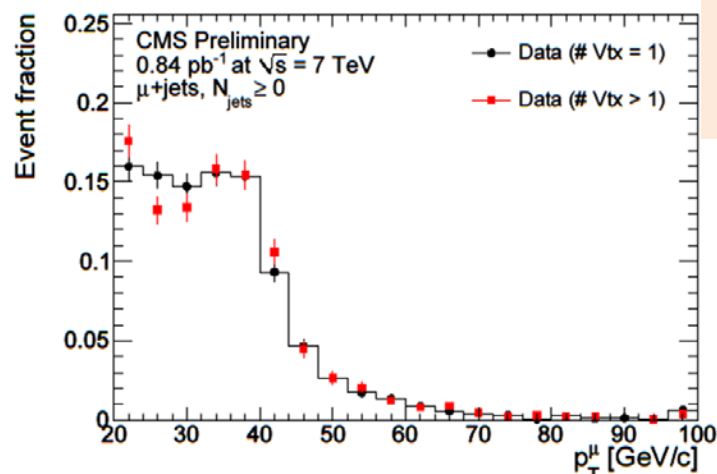
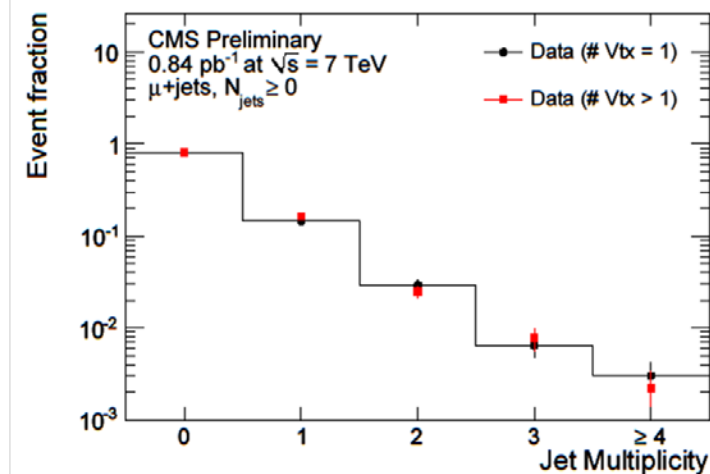
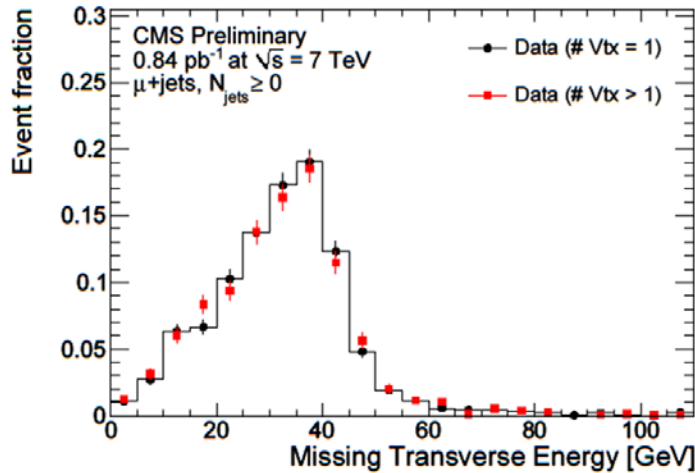
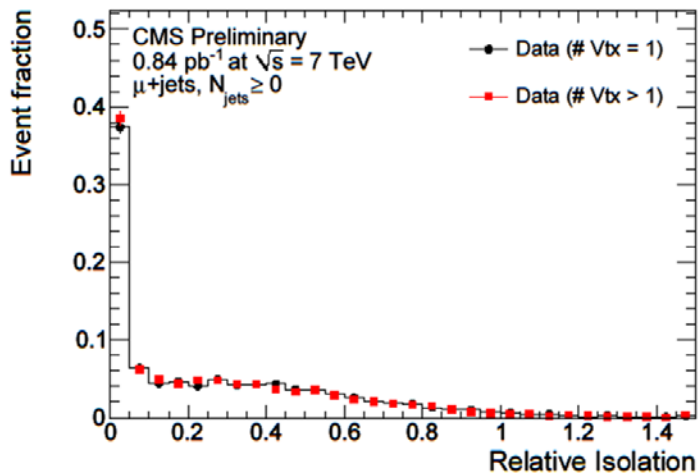
# Are we sensitive to Pile-up?

Do have non-negligible pileup in recent data  $\langle N \rangle \sim 0.9$

Simulation is without pileup

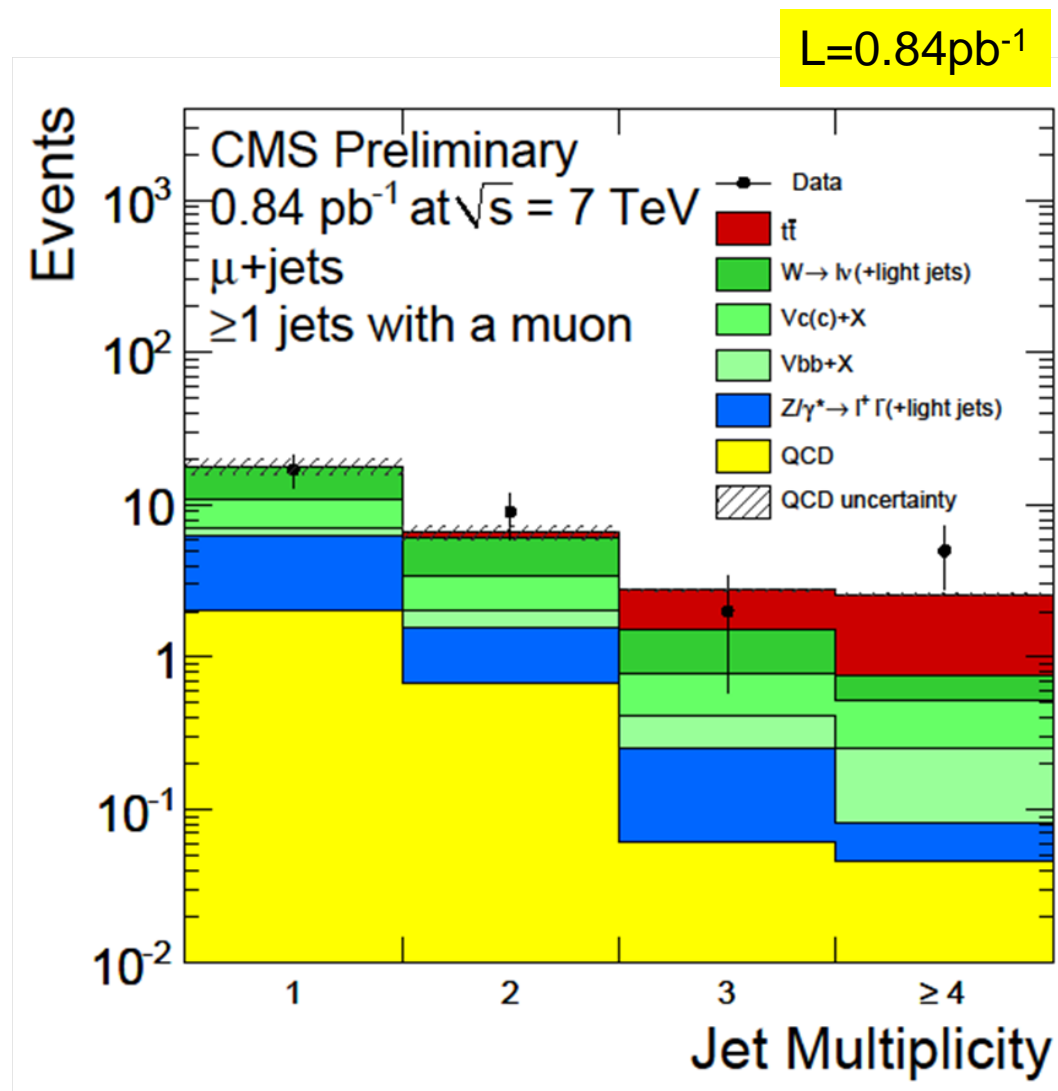
Compare data with one vertex vs data with  $\geq 1$  vertex

$L=0.84\text{pb}^{-1}$

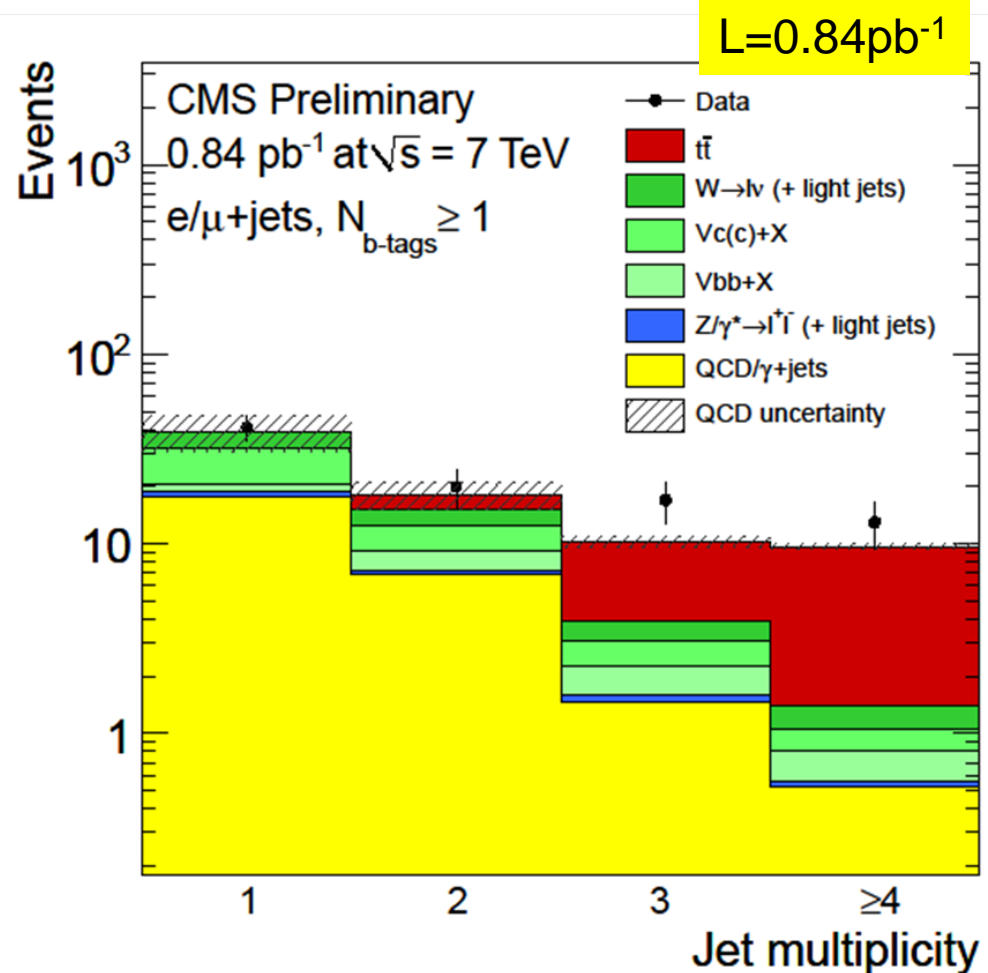


So far little effect on sensitive distributions (e.g. isolation, MET)

- “Muon-in-jet”
  - mu+jets: request at least one jet associated with a muon within  $dR < 0.4$
  - Sensitive to semileptonic b-decays in jets
- For  $N(\text{jets}) \geq 3$ , observe 7 events, consistent with  $t\bar{t}$  signal plus  $\sim 2.5$  background events

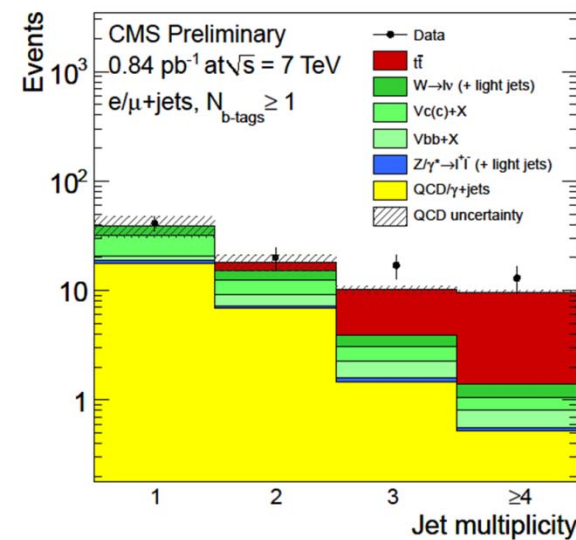
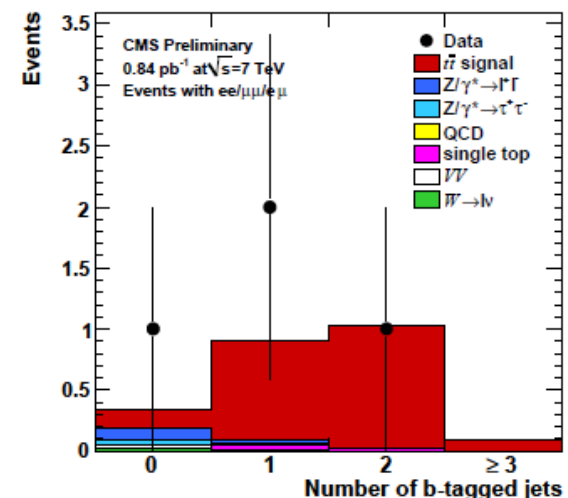


- e/mu+jets combined
- Secondary vertex tagger  
(working point with  $\sim 1\%$  fake rate)
- For  $N(\text{jets}) \geq 3$ :
  - Observed  $N(\text{data})=30$
  - Predicted background  $N(\text{BG,MC})=5.3$
  - Predicted signal  $N(\text{ttbar,MC})=15$



Seeing  $t\bar{t}$  events at a rate roughly consistent with NLO cross section, considering experimental (JES, b-tagging) and theoretical (scale, PDF, HF modelling, ...) uncertainties

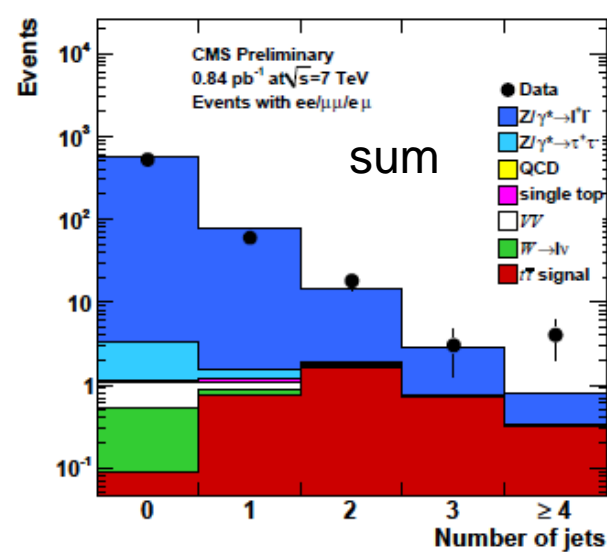
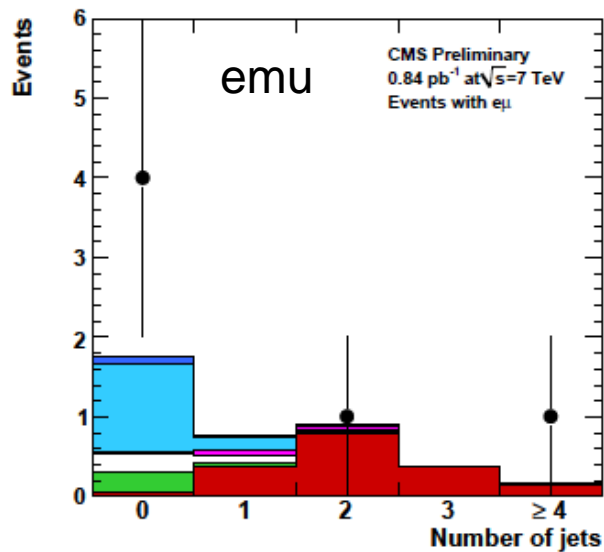
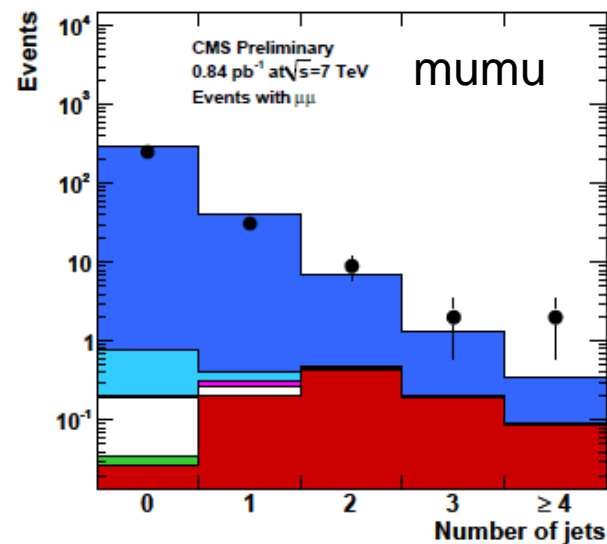
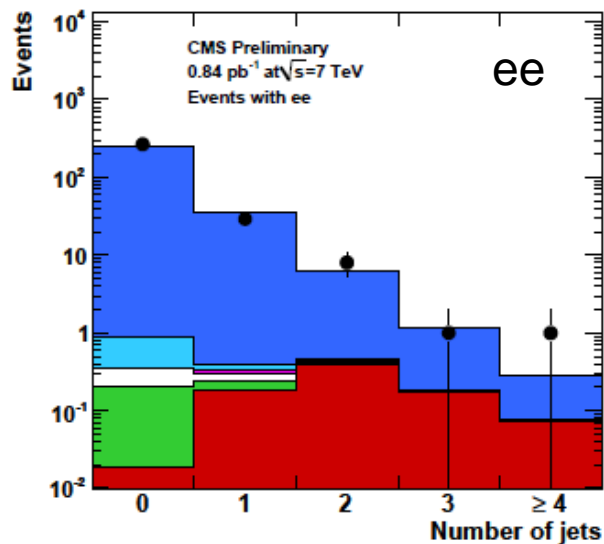
- Analyzed first  $L=0.84\text{pb}^{-1}$  of 7TeV data
  - Updated with respect to ICHEP results ( $L\sim 250\text{nb}^{-1}$ )
- Event yields in background dominated regions  $\sim$ consistent with expectations, within uncertainties
  - Tests of data-driven background estimation
- Enrich signal by going to high  $N(\text{jets})$  and employing b-tagging
- Observed number of candidates approx. consistent with  $t\bar{t}$  expectation, on top of small backgrounds
- Strong evidence for excellent performance of CMS detector (jets, MET, leptons, b-tagging)!

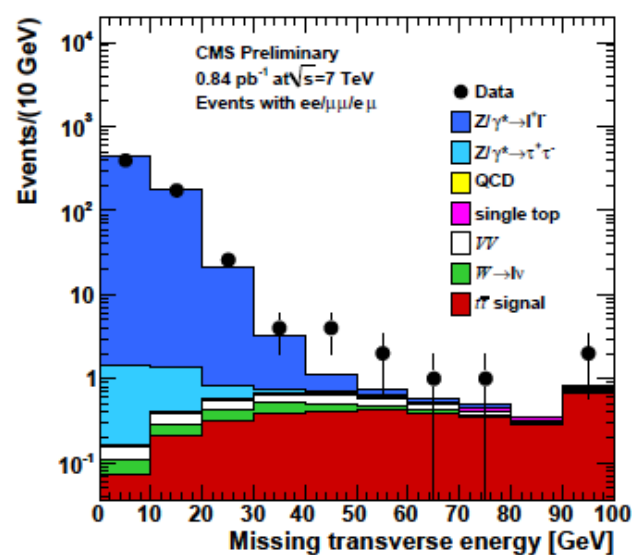
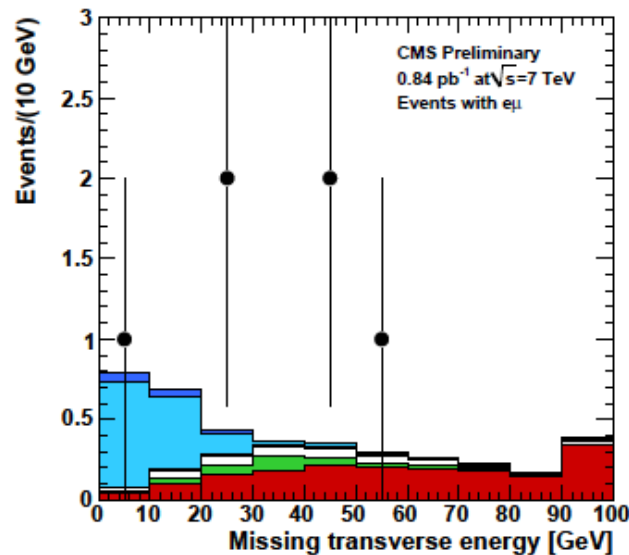
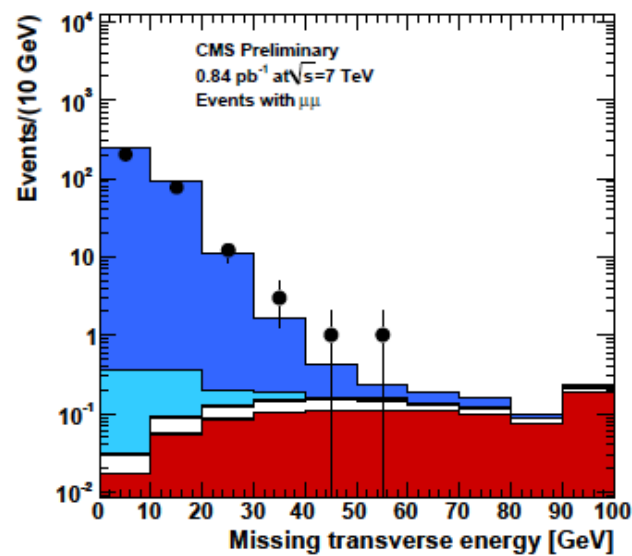
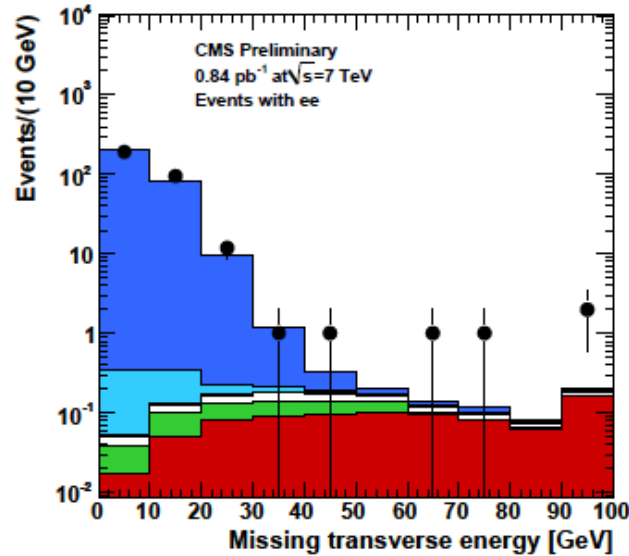


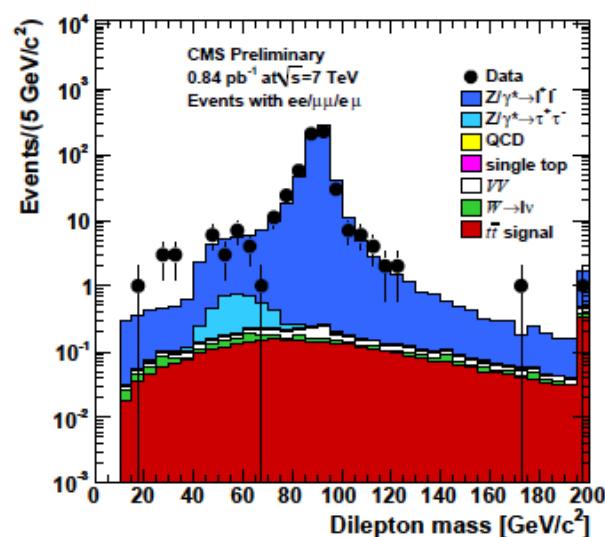
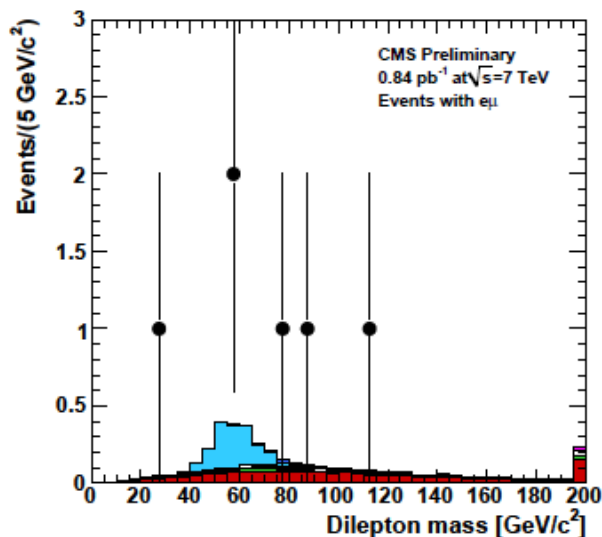
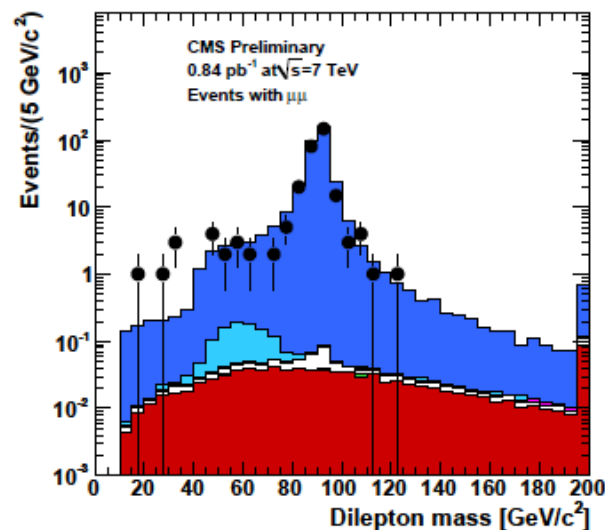
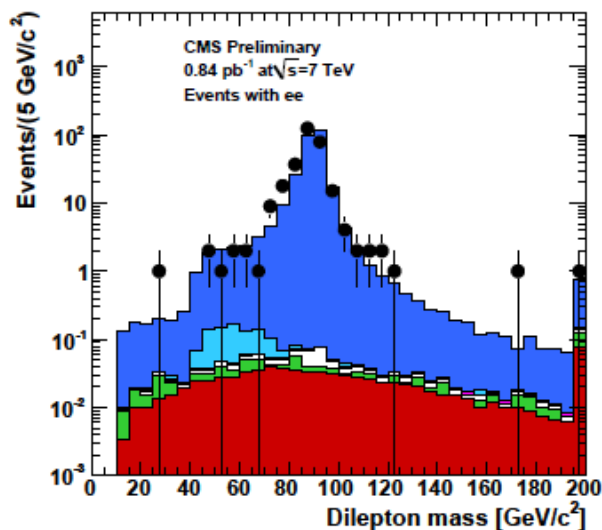
Established Top signal at LHC, first cross sections will come soon!

# Backup

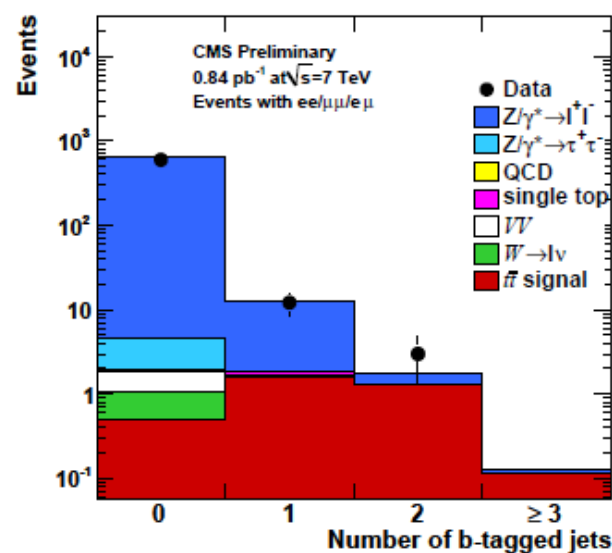
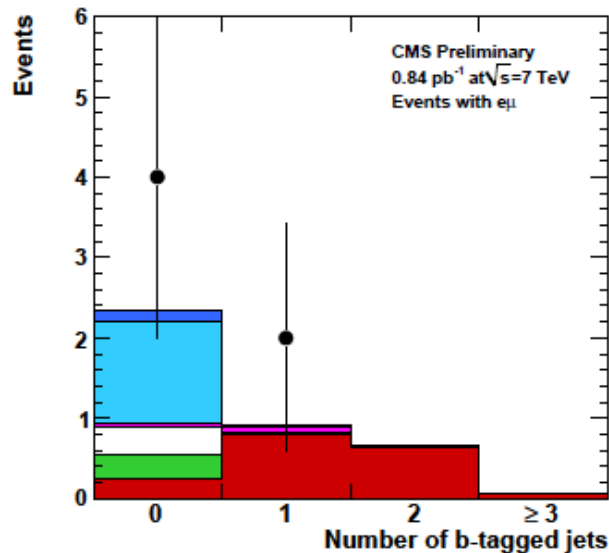
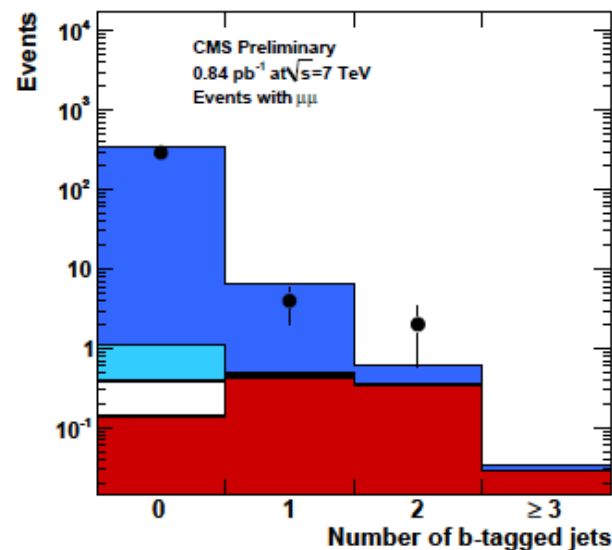
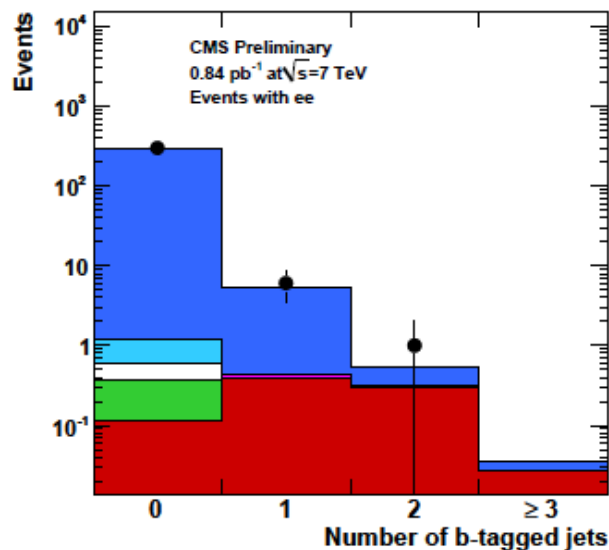
# Jet multiplicity (relaxed selection)





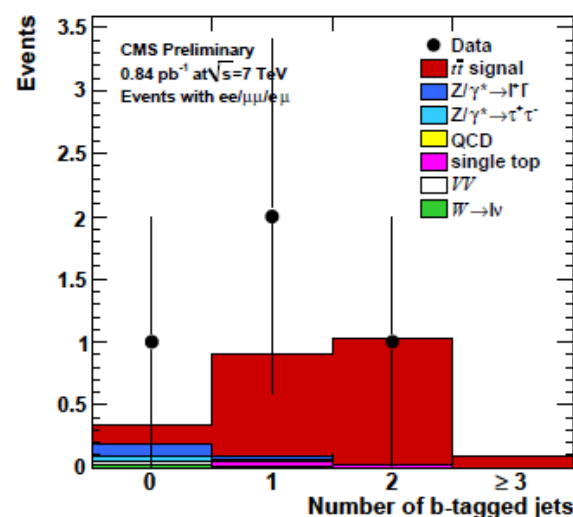
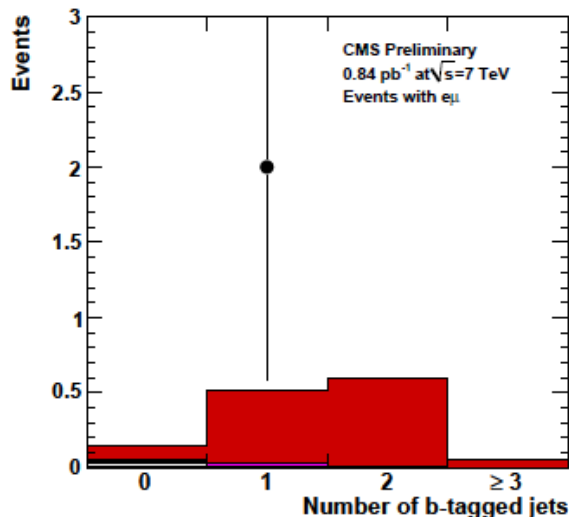
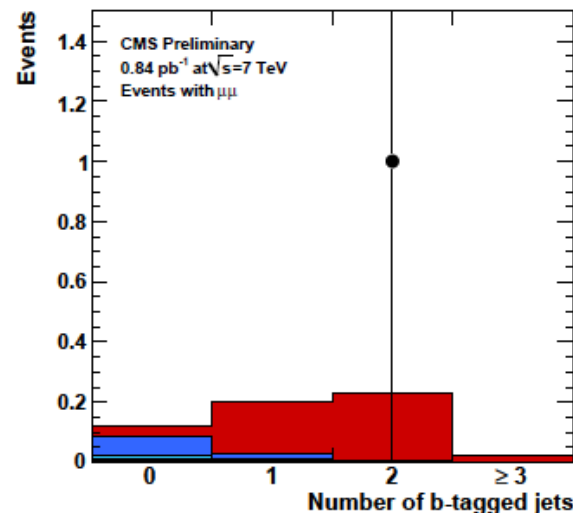
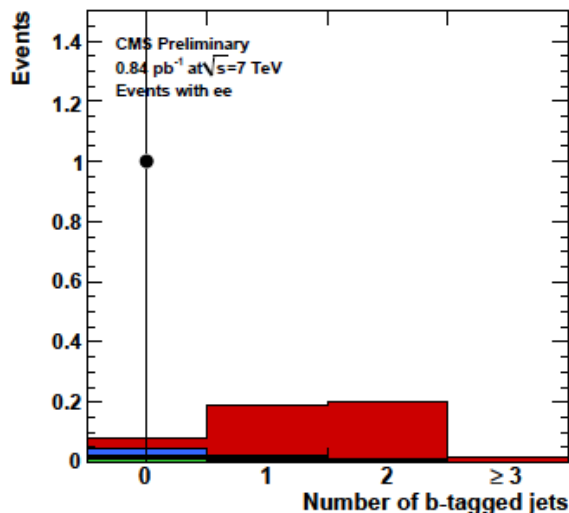


# b-tag multiplicity (relaxed sel.)

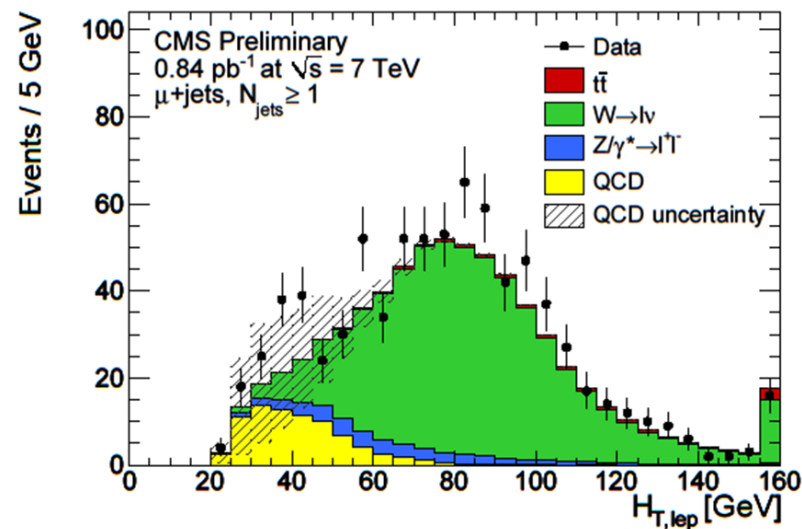
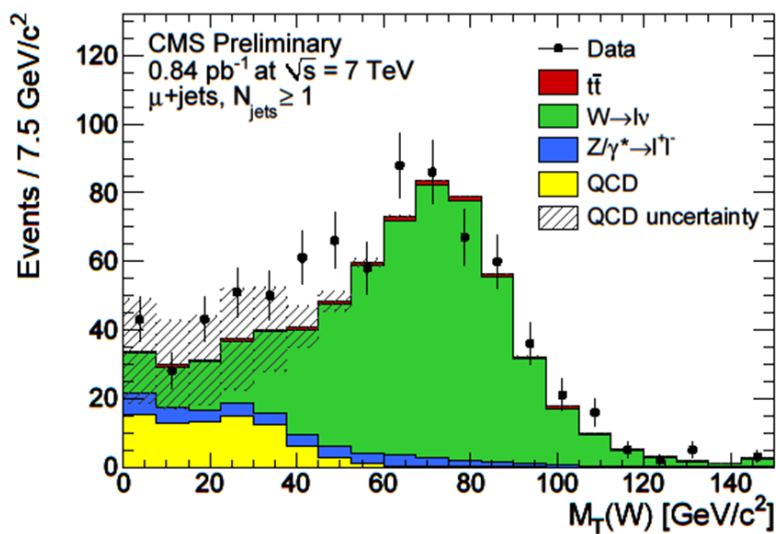
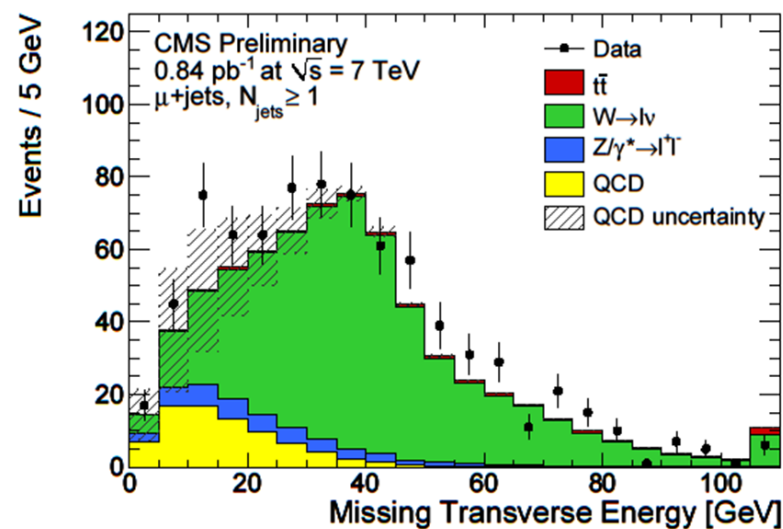
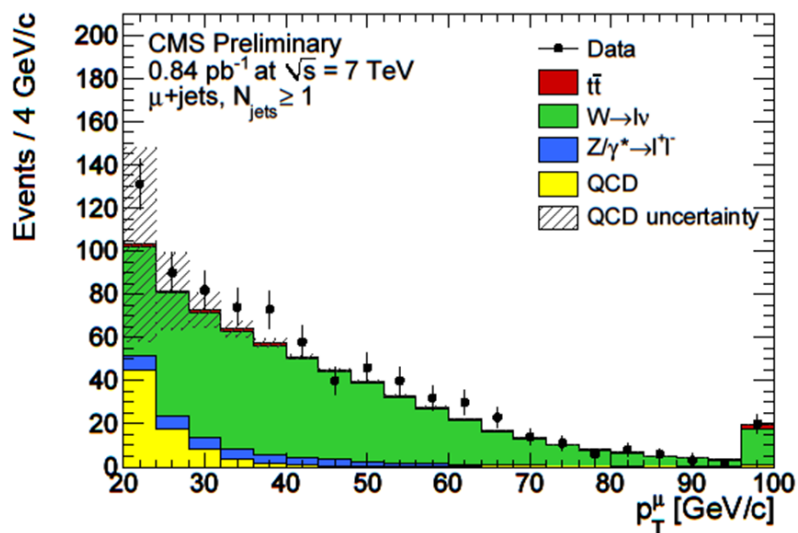


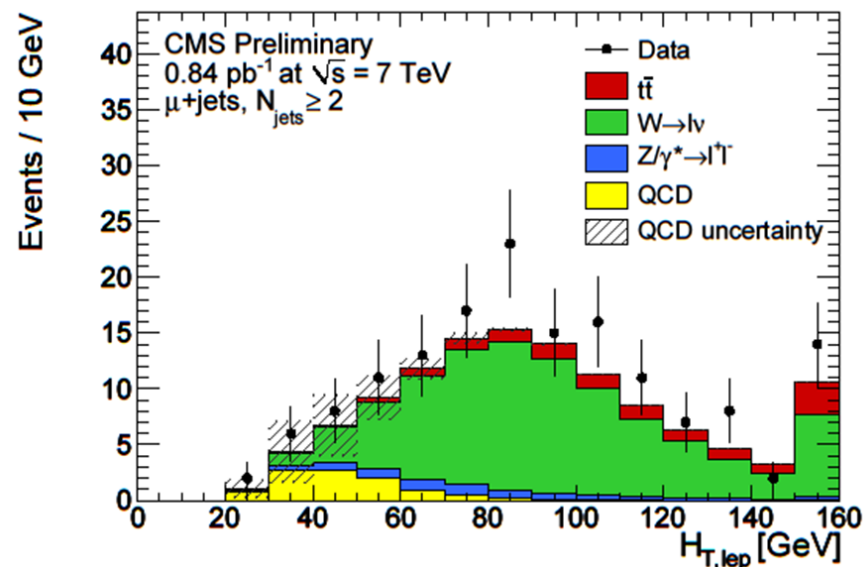
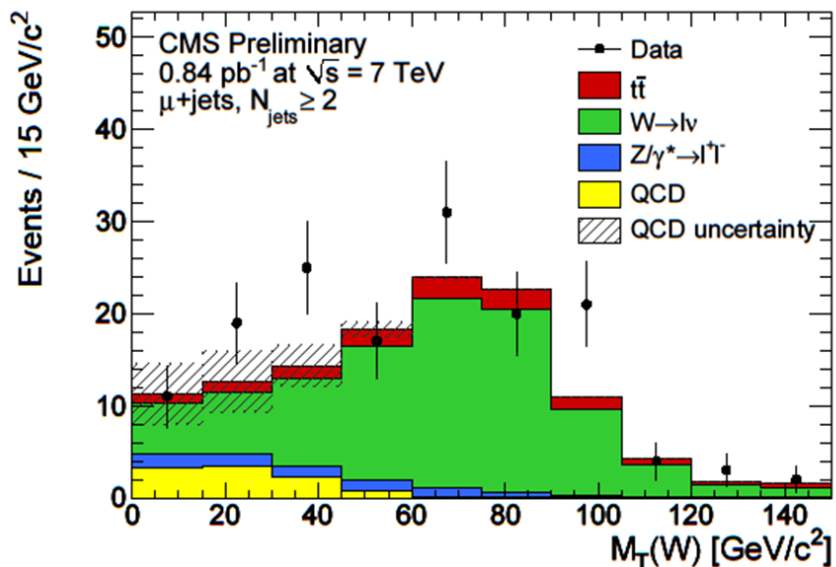
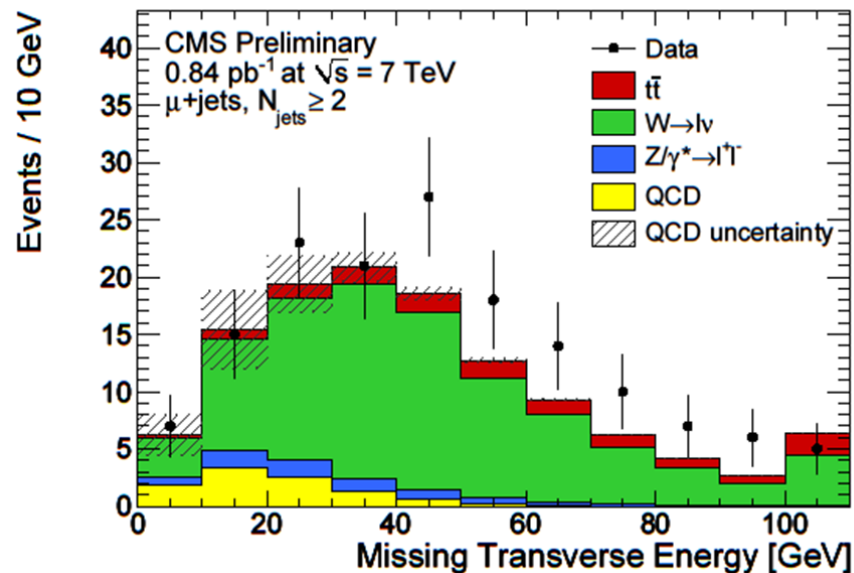
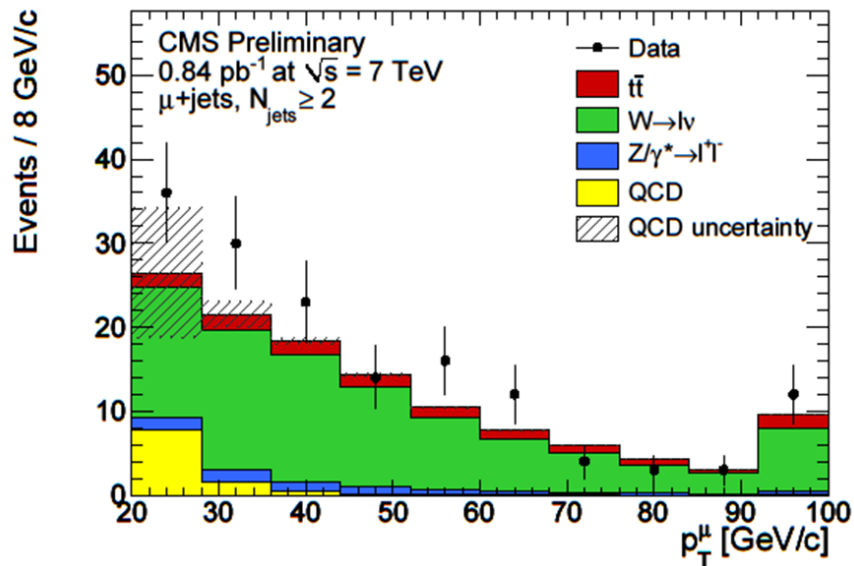
# b-tag multiplicity (full selection)

- With Z-veto, MET,  $N(\text{jets}) \geq 2$  cuts applied

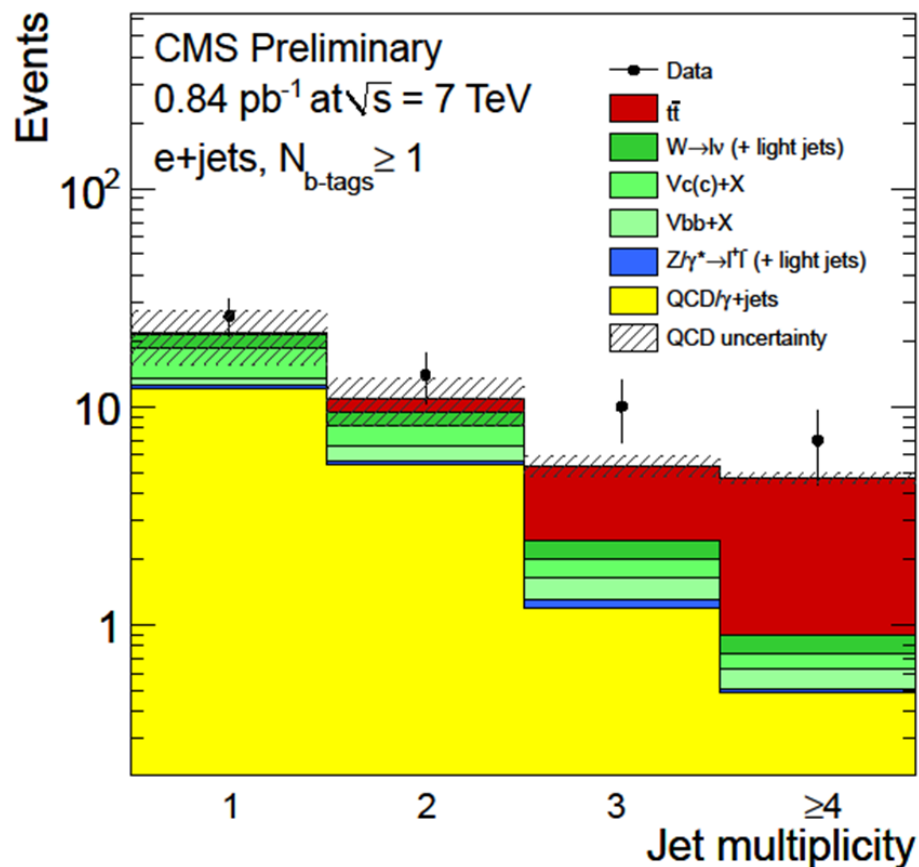


# mu+Jets, $\geq 1$ jets

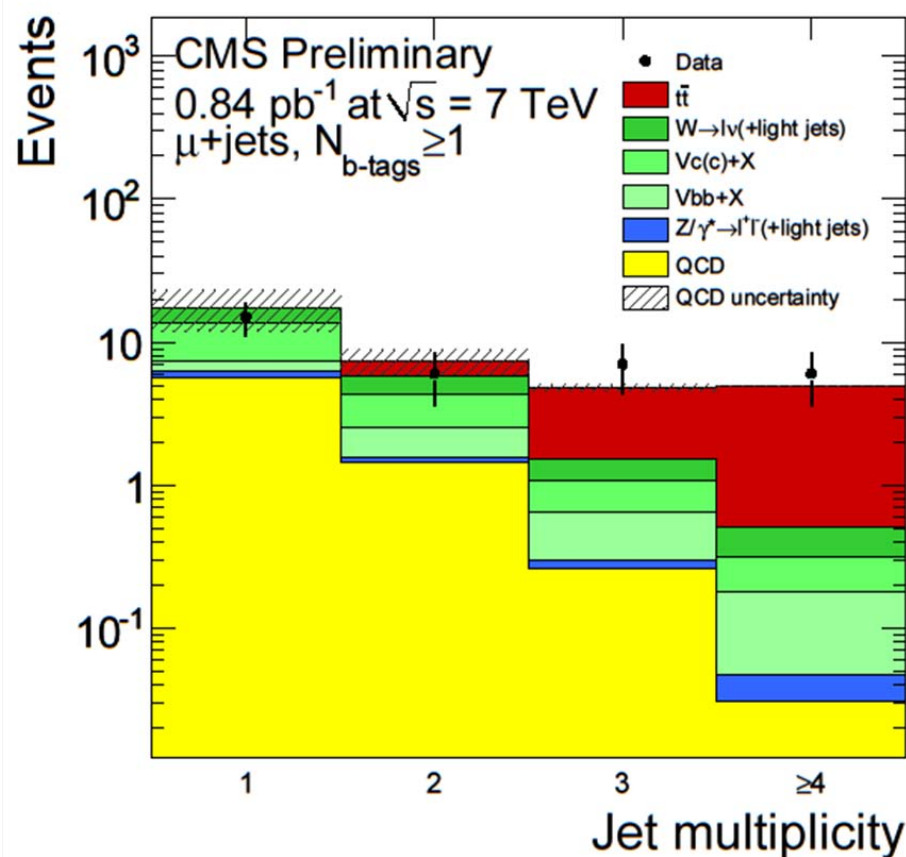




- e+jets



- mu+jets



## CMS Detector

Pixels  
 Tracker  
 ECAL  
 HCAL  
 Solenoid  
 Steel Yoke  
 Muons

### SILICON TRACKER

Pixels ( $100 \times 150 \mu\text{m}^2$ )  
 ~1m<sup>2</sup> 66M channels  
 Microstrips ( $50\text{--}100\mu\text{m}$ )  
 ~210m<sup>2</sup> 9.6M channels

### CRYSTAL ELECTROMAGNETIC CALORIMETER (ECAL)

76k scintillating PbWO<sub>4</sub> crystals

### PRESHOWER

Silicon strips  
 ~16m<sup>2</sup> 137k channels

### STEEL RETURN YOKE

~13000 tonnes

### SUPERCONDUCTING SOLENOID

Niobium-titanium coil  
 carrying ~18000 A

### HADRON CALORIMETER (HCAL)

Brass + plastic scintillator

### FORWARD CALORIMETER

Steel + quartz fibres

### MUON CHAMBERS

Barrel: 250 Drift Tube & 500 Resistive Plate Chambers  
 Endcaps: 450 Cathode Strip & 400 Resistive Plate Chambers

Total weight : 14000 tonnes  
 Overall diameter : 15.0 m  
 Overall length : 28.7 m  
 Magnetic field : 3.8 T