

# Diffractive Final States at H1

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[DESY]



Representing the H1 Collaboration



## Contents:

- Testing QCD factorization in diffraction
- NLO comparisons for diffractive jets and charm
- Jets in diffractive photoproduction

International Europhysics Conference  
on High Energy Physics

EPS 2003

July 17th-23rd, 2003  
Aachen, Germany

## Factorization in Diffraction

Proof of QCD Factorization for diffractive DIS:

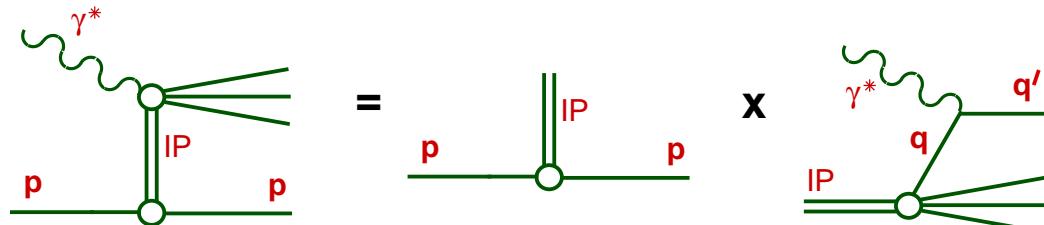
- Diffractive parton distributions (Trentadue, Veneziano, Berera, Soper, Collins, ...):

$$\frac{d^2\sigma(x, Q^2, x_{IP}, t) \gamma^* p \rightarrow p' X}{dx_{IP} dt} = \sum_i \int_x^{x_{IP}} d\xi \hat{\sigma}^{\gamma^* i}(x, Q^2, \xi) p_i^D(\xi, Q^2, x_{IP}, t) \quad (+\text{higher twist})$$

- $\hat{\sigma}^{\gamma^* i}$  hard scattering part, as in incl. DIS
- $p_i^D$  diffractive pdf's in proton, conditional probabilities, valid at fixed  $x_{IP}, t$ , obey (NLO) DGLAP

Regge Factorization / 'Resolved Pomeron' model:

$x_{IP}, t$  dependence factorizes out (Donnachie, Landshoff, Ingelman, Schlein, ...):



$$F_2^D(x_{IP}, t, \beta, Q^2) = f_{IP/p}(x_{IP}, t) F_2^{IP}(\beta, Q^2)$$

- additional assumption, no proof !
- consistent with present data if sub-leading IR included

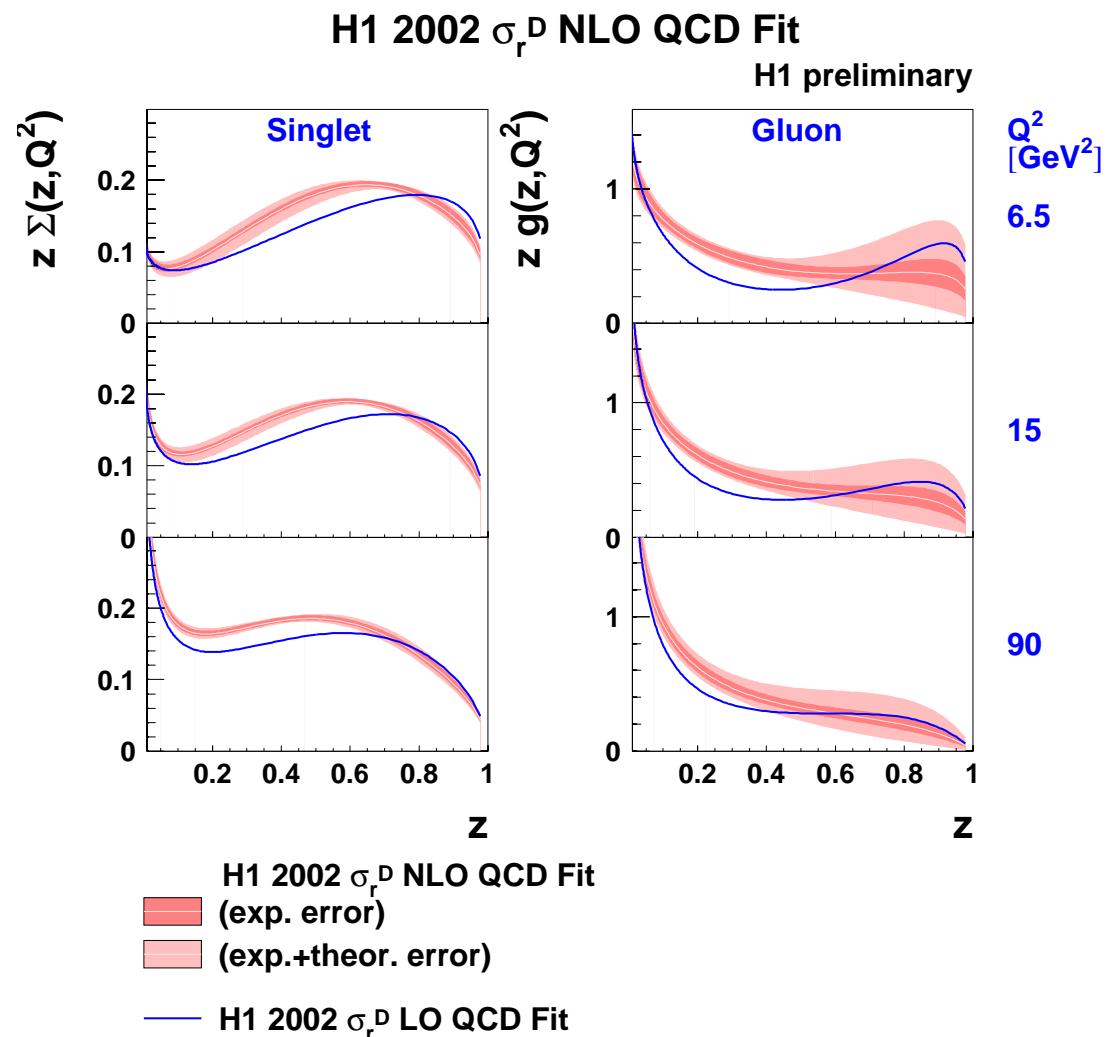
Shape of diffr. pdf's indep. of  $x_{IP}, t$ , normalization controlled by Regge flux  $f_{IP/p}$

## Diffractive Parton Distributions and Factorization Tests

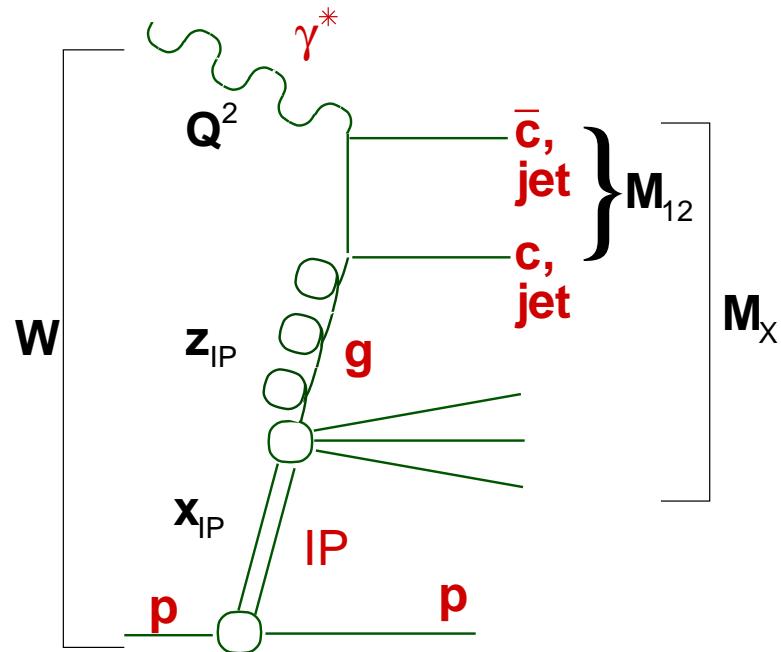
- New NLO (and LO) diffractive parton distributions from H1
- Extracted from DGLAP QCD fit to H1 diffractive DIS data (see previous talk)
- Gluon dominated
- Factorizing in  $x_{IP}$
- Gluon uncertainty large for  $z > 0.5$

If QCD factorization works,  
these pdf's can be used  
to predict final state cross  
sections (jets, heavy quarks)!

pdf's of diffractive exchange



## Jet and Heavy Flavour Production in Diffractive DIS



$Q^2$ : Photon virtuality

$W$ :  $\gamma^* p$  CMS energy

$M_X$ : mass of diffractively produced system

$M_{12} = \sqrt{\hat{s}}$ : mass of two jets /  $c\bar{c}$  pair

$$x_{IP} = \frac{Q^2 + M_X^2}{Q^2 + W^2}$$

momentum fraction of diffractive exchange w.r.t. proton

$$z_{IP} = \frac{Q^2 + M_{12}^2}{Q^2 + M_X^2}$$

momentum fraction of diffractive exchange entering hard process

→ High sensitivity to diffractive gluon distribution!

- high  $p_T$  jet production
- $c \rightarrow D^*$  Meson production

# NLO Calculations for Diffractive Final States

- So far mostly LO Monte Carlo programs with parton showers used
  - QCD factorization: Hard scattering cross section same as for normal DIS
  - NLO important to describe non-diffractive Jet production
- use standard NLO programs for jets and heavy quarks in DIS ( $\mathcal{O}(\alpha_s^2)$ )

Diffractive DIS Jets:

Use DISENT (Seymour)  
c.f. Hautmann [JHEP 0210 (2002) 025]

Calculate NLO cross section at fixed  $x_{IP}$  by  
running with reduced  $E_p = x_{IP} E_{p,nom}$ .

Use diffractive pdf  $p_{i/IP}(z, \mu^2)$

Mul. w/ flux  $f_{IP}(x_{IP}) = \int dt f_{IP}(x_{IP}, t)$

Data integrated over  $x_{IP}$ :  
“ $x_{IP}$  slicing”

Diffractive DIS  $D^*$ :

Diffractive version of HVQDIS (Harris,  
Smith) by Alvero, Collins, Whitmore  
[hep-ph/9806340]

$x_{IP}, t$  integration numerically

NLO Calculation in massive scheme

Peterson fragmentation

Both Interfaced to H1 diffractive pdf's

# NLO Comparisons with Diffractive DIS Jets

## Data:

Published H1 data:

[Eur. Phys. J. C**20** (2001) 29]

$4 < Q^2 < 80 \text{ GeV}^2$ ,  $0.1 < y < 0.7$ ,  
 $x_{IP} < 0.05$

Jets: CDF cone,  $p_{T,jet} > 4 \text{ GeV}$

But: NLO unstable if  $p_{T,1} \sim p_{T,2}$   
 $\rightarrow$  Data corrected to  $p_{T,1(2)} > 5(4) \text{ GeV}$

## NLO Calculations with DISENT:

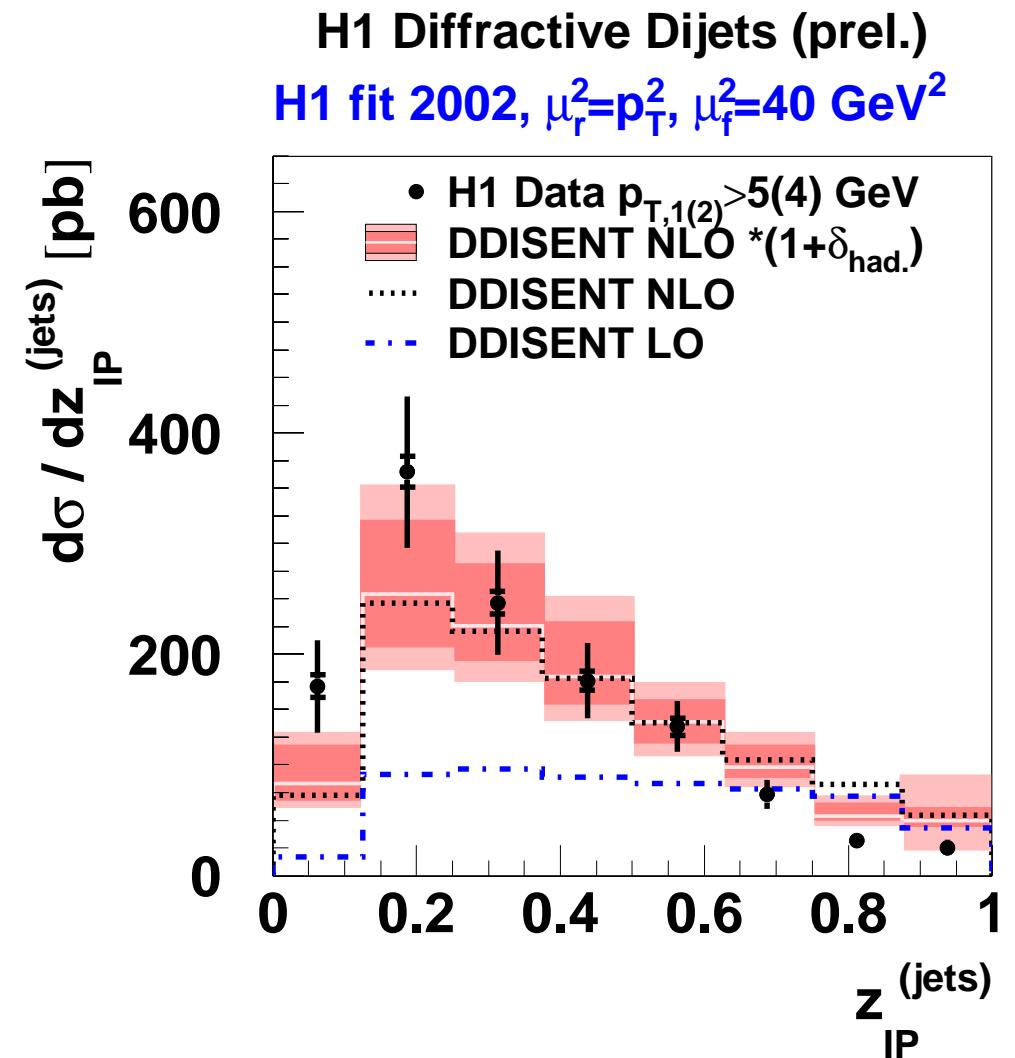
$\mu_r^2 = p_T^2$ ,  $\mu_f^2 = 40 \text{ GeV}^2$

$\Lambda_{QCD}^4 = 0.2 \text{ GeV}$  (as in QCD fit)

Hadronization corrections applied

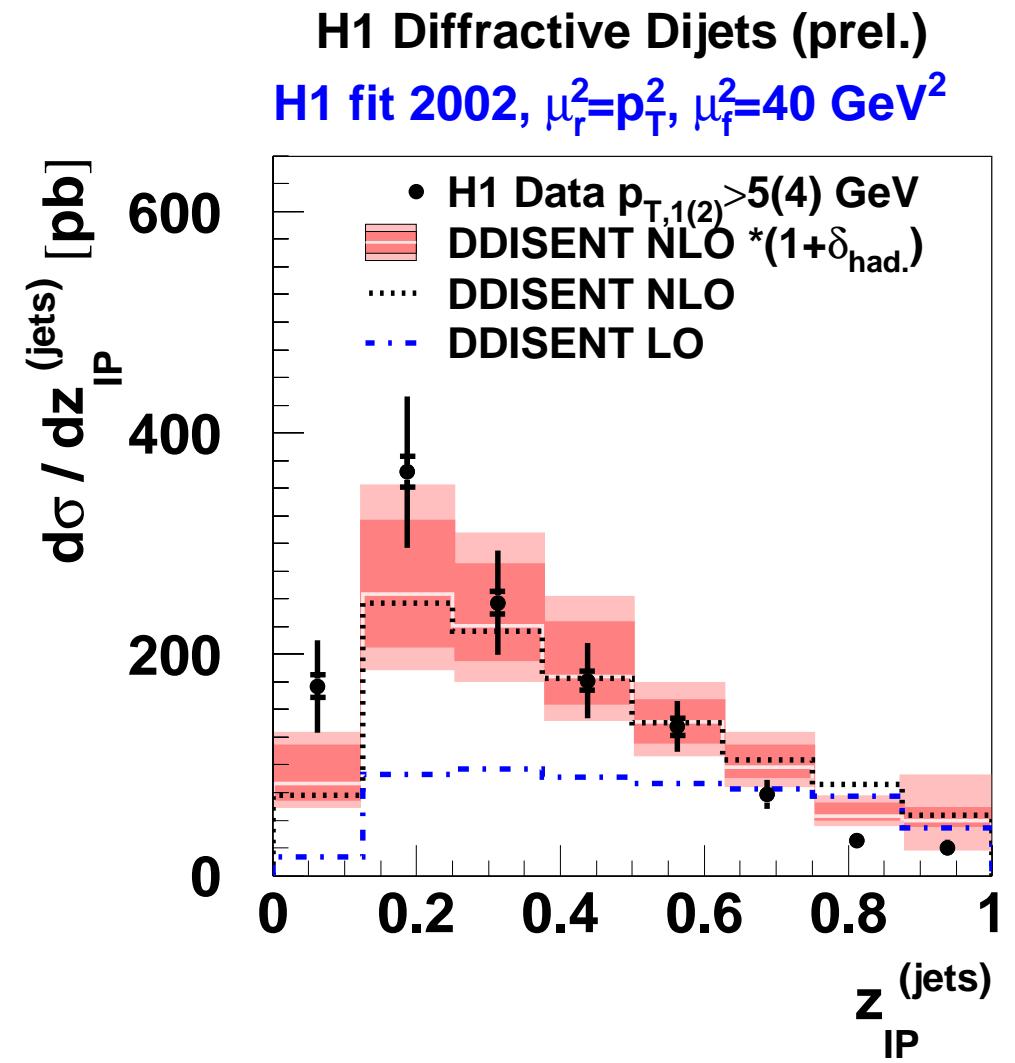
Inner band:  $0.25\mu_r^2 \dots 4\mu_r^2$

Outer band includes unc. in hadr. corr.



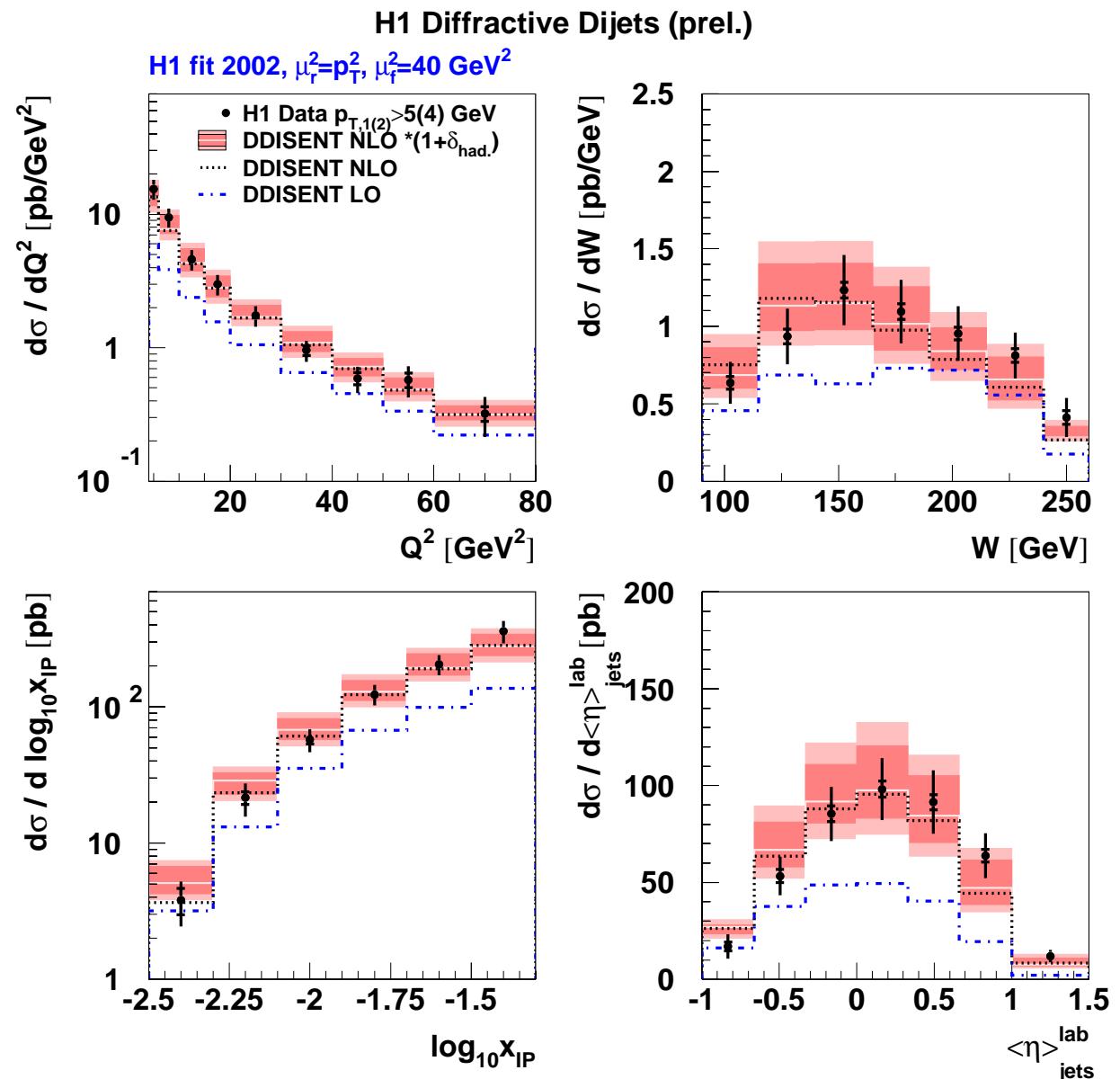
# NLO Comparisons with Diffractive DIS Jets

- Cross section differential in  $z_{IP}$
- LO Calculation too low,  
shape of data not reproduced  
(note: w/o parton showers!)
- Size of NLO correction on  
average factor  $\sim 2$  (due to low jet  $p_T$ )
- NLO, corrected for hadronization:  
reasonable description in shape  
and normalization
- Renormalization scale unc.  $\sim 20\%$
- Not shown: pdf uncertainty  
(gluon at high  $z_{IP}$ )



## NLO Comparisons with Diffractive DIS Jets

- Further Cross sections:
- Size of NLO Corrections decreasing with  $Q^2$  (and  $p_T$ , not shown)
- Reasonable agreement with NLO calculation

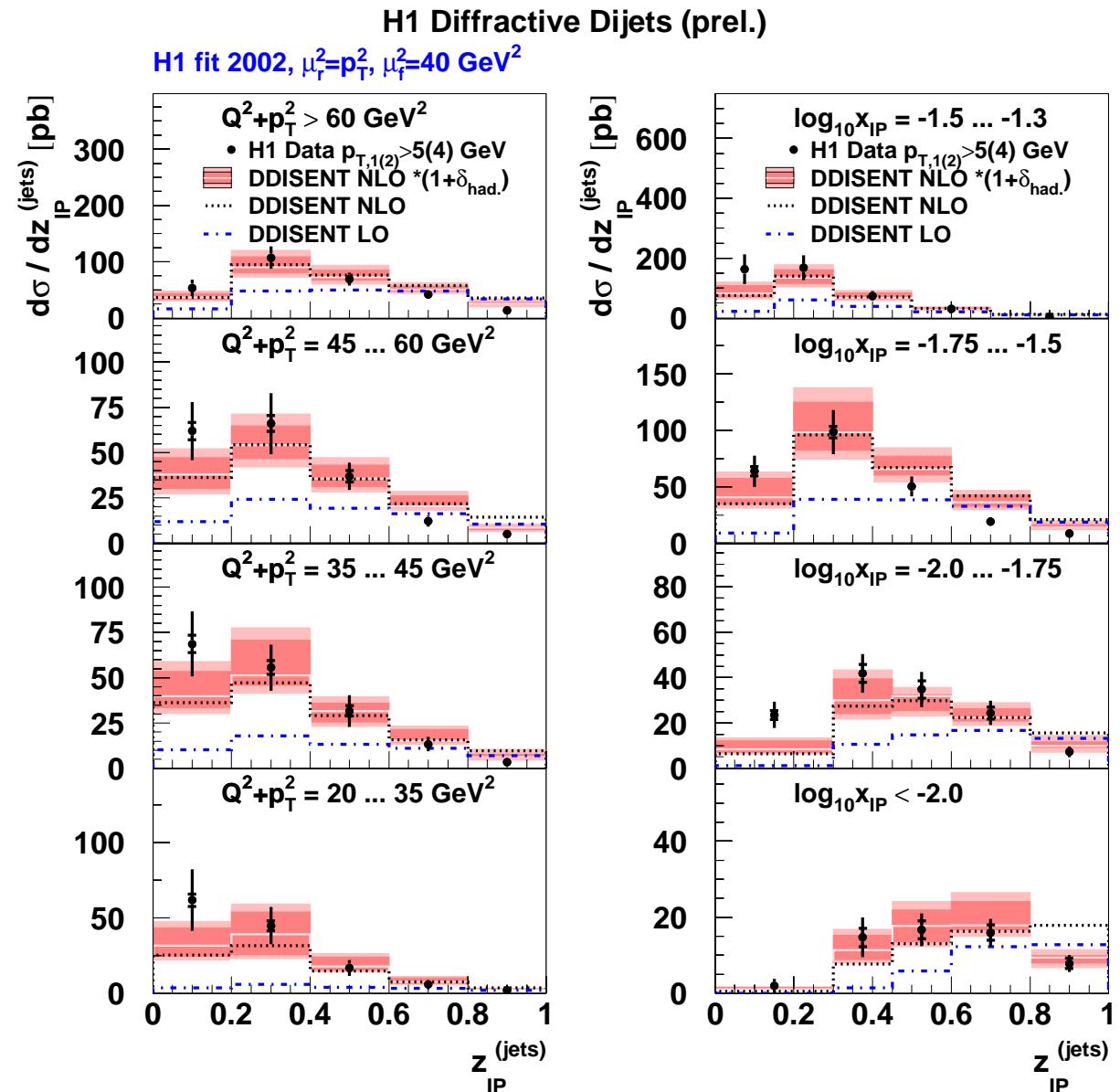


## NLO Comparisons with Diffractive DIS Jets

- Double differential cross sections:

- $z_{IP}$  in bins of  $Q^2 + p_T^2$
- $z_{IP}$  in bins of  $x_{IP}$

- Reasonable agreement with NLO calculation



# NLO Comparisons with Diffractive DIS $D^*$

Data:

Published H1 data:

[Phys. Lett. B520 (2001) 191]

$2 < Q^2 < 100 \text{ GeV}^2, 0.05 < y < 0.7,$   
 $x_{IP} < 0.04$

$D^* \rightarrow K\pi\pi$

$p_{T,D^*}^* > 2 \text{ GeV}, |\eta_{D^*}| < 1.5$

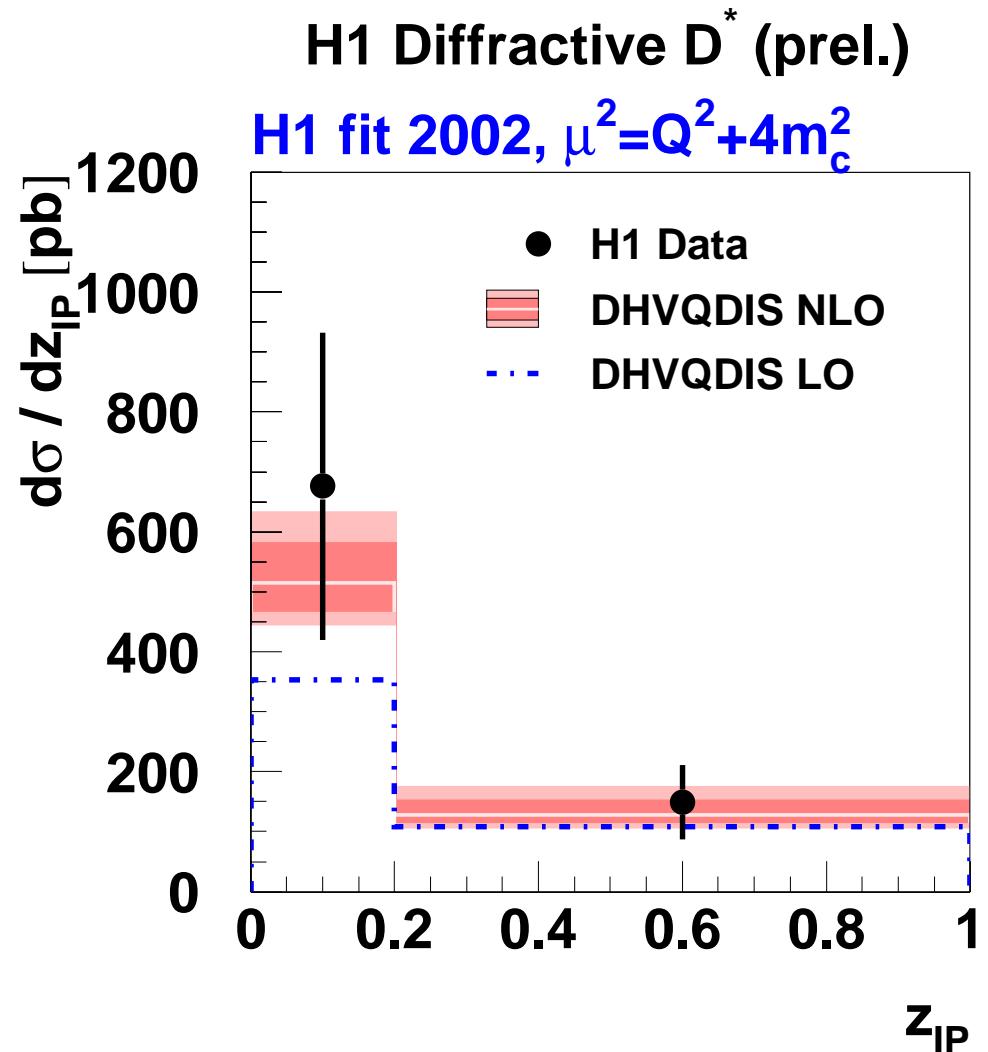
NLO Calculations with diffr. HVQDIS:

$$\mu_r^2 = \mu_f^2 = Q^2 + 4m_c^2$$

$$\Lambda_{QCD}^4 = 0.2 \text{ GeV} \text{ (as in QCD fit)}$$

Peterson Fragmentation:  $\epsilon = 0.078$

$m_c = 1.5 \text{ GeV}, f(c \rightarrow D^*) = 0.233$



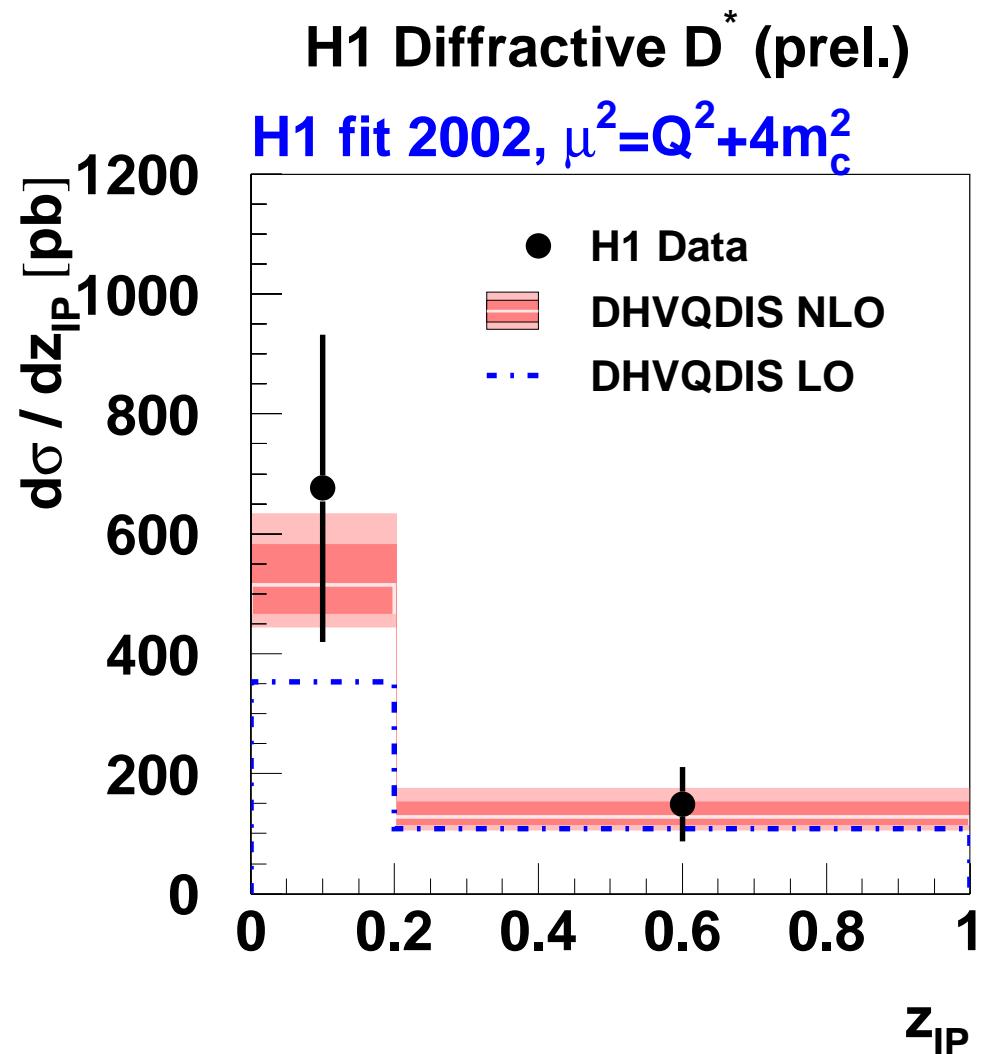
## NLO Comparisons with Diffractive DIS $D^*$

Inner NLO error band:  $0.25\mu_r^2 \dots 4\mu_r^2$

Outer band also includes

- $1.35 < m_c < 1.65 \text{ GeV } (\pm 12\%)$
- $-0.035 < \epsilon < 0.100 (+21/-7\%)$

- Cross section differentially in  $z_{IP}$
- Good agreement in shape and normalization within uncertainties
- Size of NLO correction smaller than for dijets



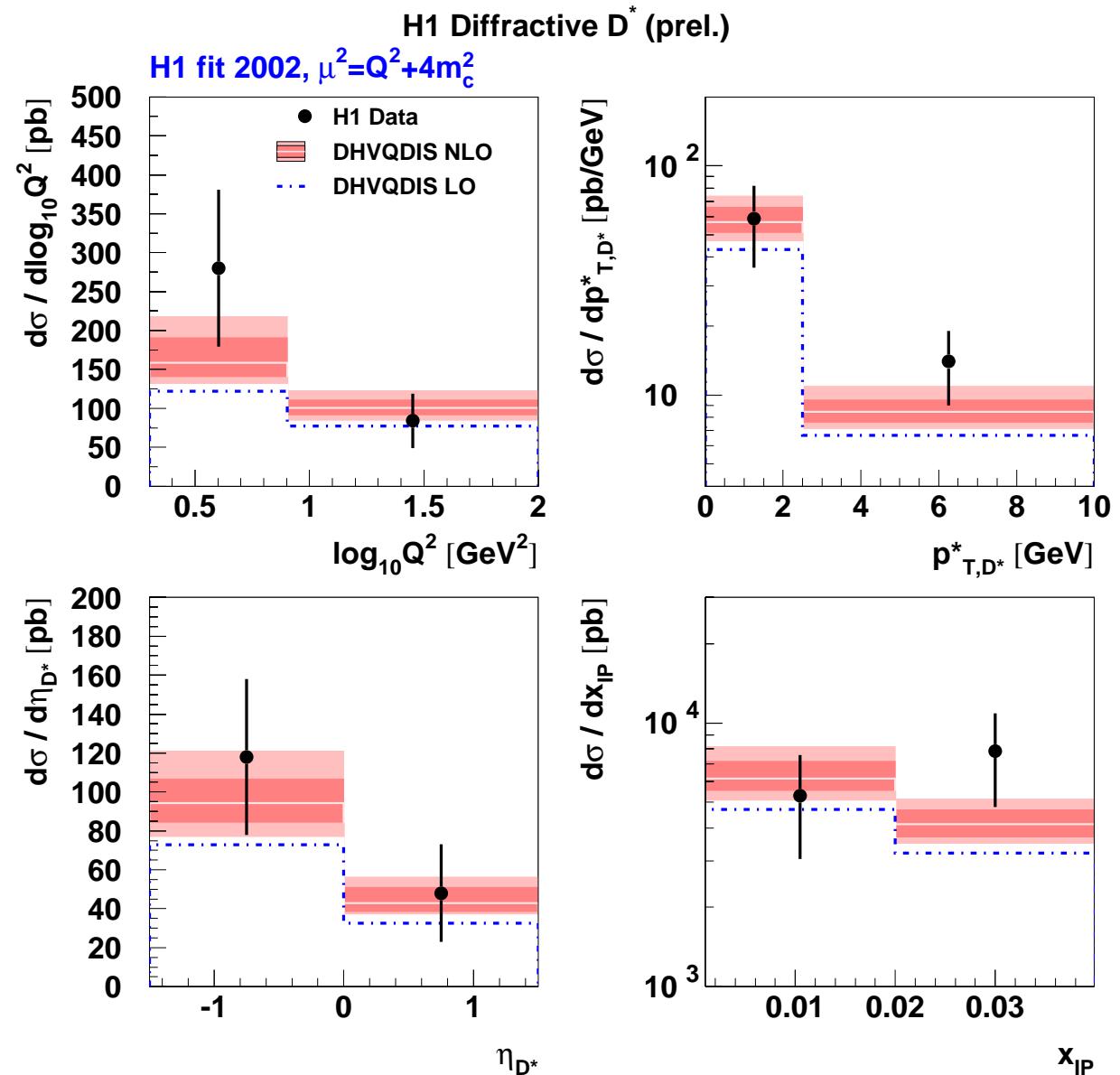
## NLO Comparisons with Diffractive DIS $D^*$

- Further cross sections
- Good agreement within uncertainties
- Variation of  $\Lambda_{QCD}$  by  $\pm 30$  MeV:  $\pm 5\%$  effect

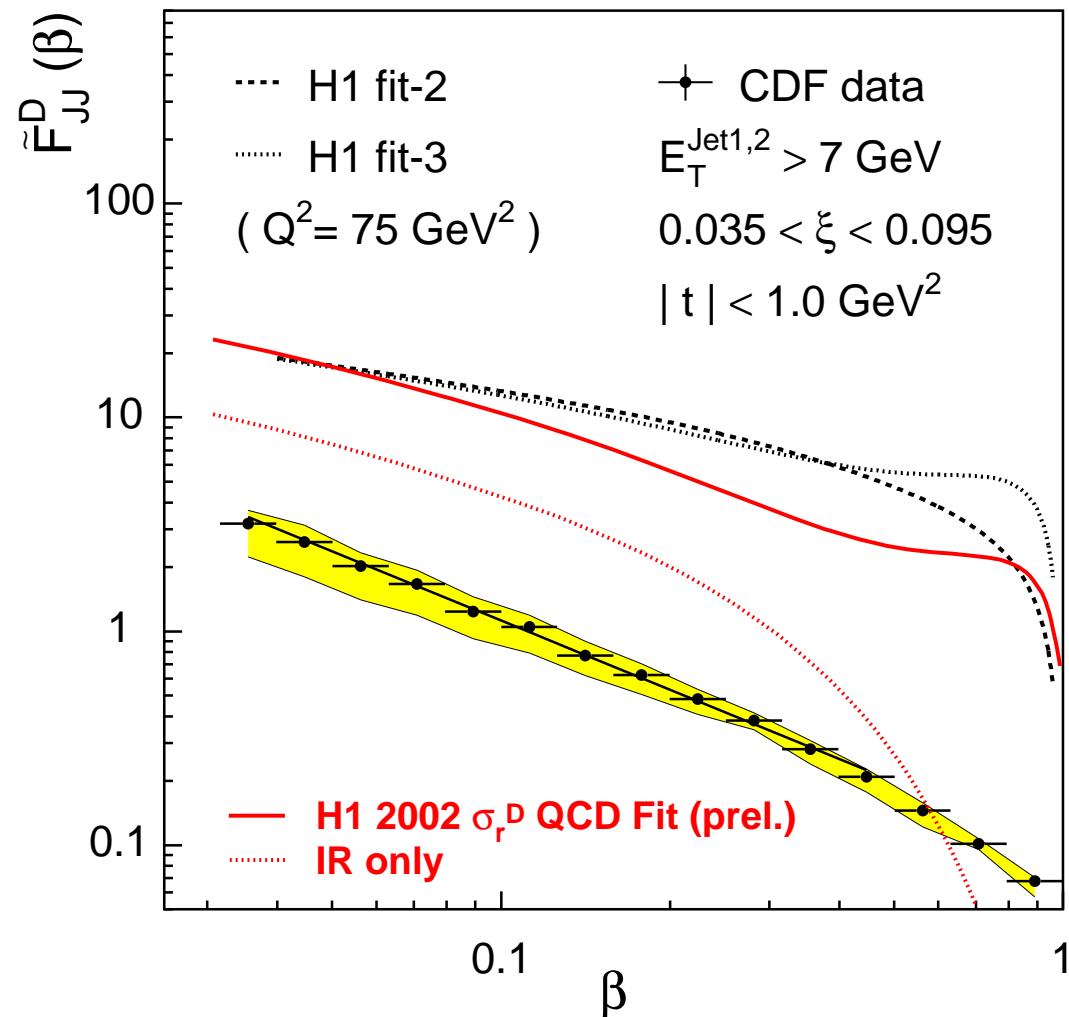
Summary for DIS jets and  $D^*$ :

Consistent with QCD factorization in diffractive DIS, tested to NLO

But what if the photon is real ( $Q^2 \sim 0$ ) ?



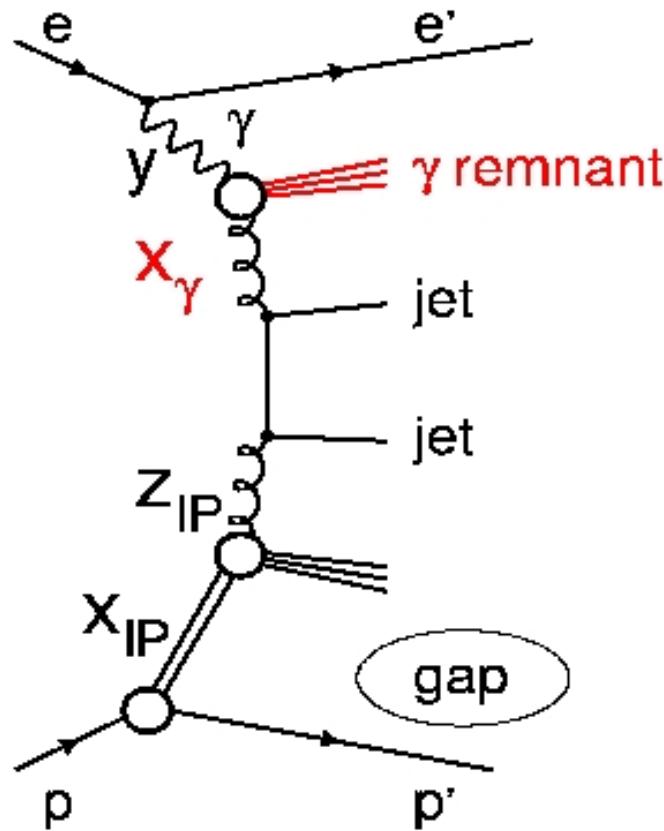
## Dijets with tagged $\bar{p}$ at CDF



- Serious breakdown of factorization observed if HERA pdf's transported to TEVATRON:
- Prediction based on H1 pdf's one order of magnitude above CDF data
- Due to presence of second hadron in initial state?

(spectator interactions break up  $\bar{p}$ , “rapidity gap survival probability”)

# Dijets in Diffractive Photoproduction



Real photon may develop **hadronic structure**  
 $\rightarrow$  similar to hadron-hadron interactions

$x_\gamma$ : Momentum fraction of photon entering  
 the hard process

- $x_\gamma = 1$ : Direct interaction, similar to DIS
- $x_\gamma < 1$ : Resolved interaction, similar to hadron-hadron scattering

- Does QCD factorization also work in diffractive photoproduction (although not proven)?
- Is there a dependence on  $x_\gamma$ ?
- Can factorization breaking w.r.t. Tevatron be understood?

## Dijets in Diffractive Photoproduction

H1 data:

$$Q^2 < 0.01 \text{ GeV}^2, 0.3 < y < 0.65$$

$$x_{IP} < 0.03$$

Jets: incl.  $k_T$  algo.

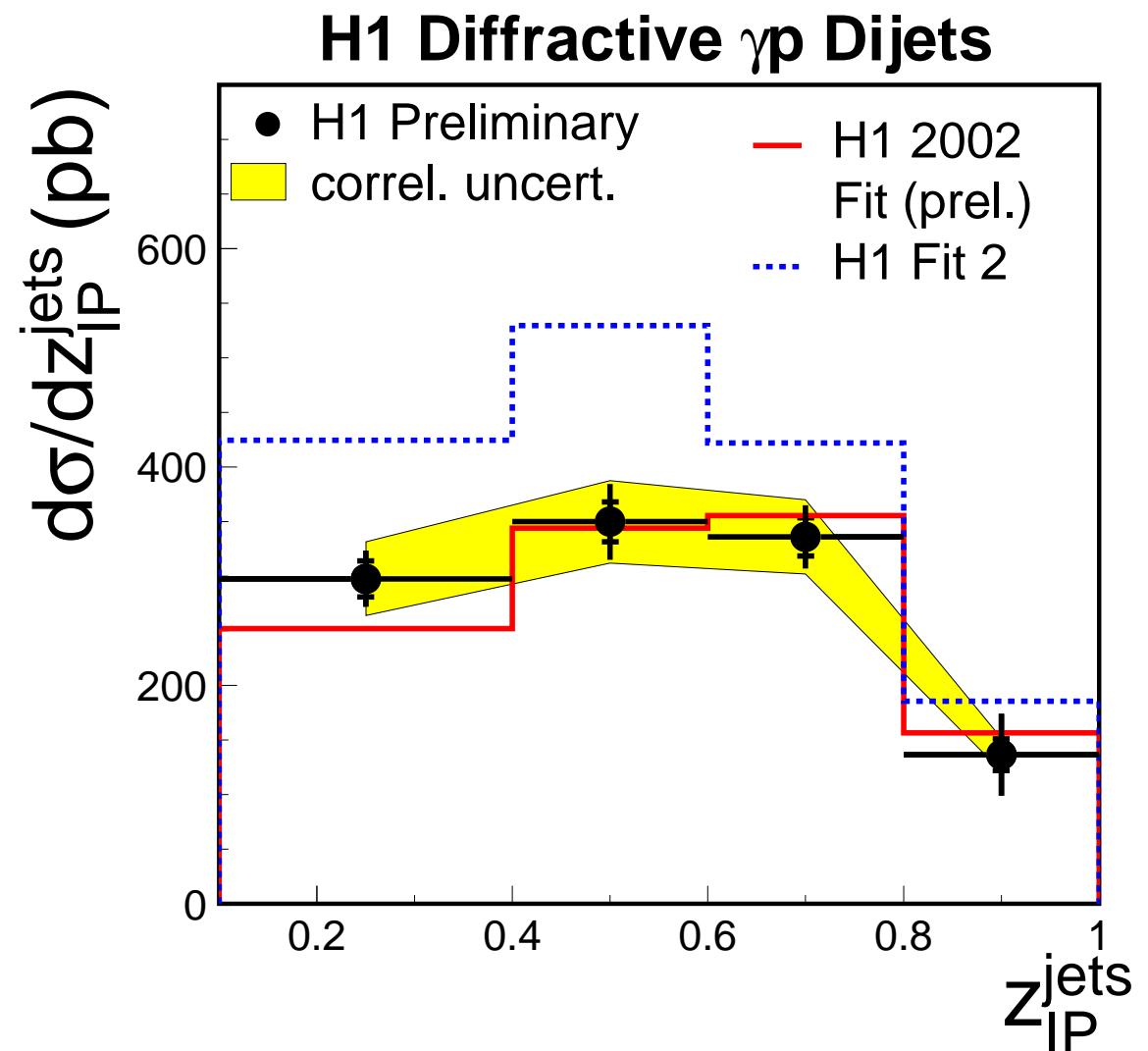
$$p_{T,1(2)} > 5(4) \text{ GeV}$$

Monte Carlo comparisons:

LO ME + parton showers: RAPGAP

$$\mu_r^2 = p_T^2$$

- New 2002 LO fit describes data very well
- Old “H1 fit 2” too high, but large uncertainties



[Wrong (too high)  $\alpha_s$  value used in previous version of plot, corrected, Data unchanged]

## Dijets in Diffractive Photoproduction

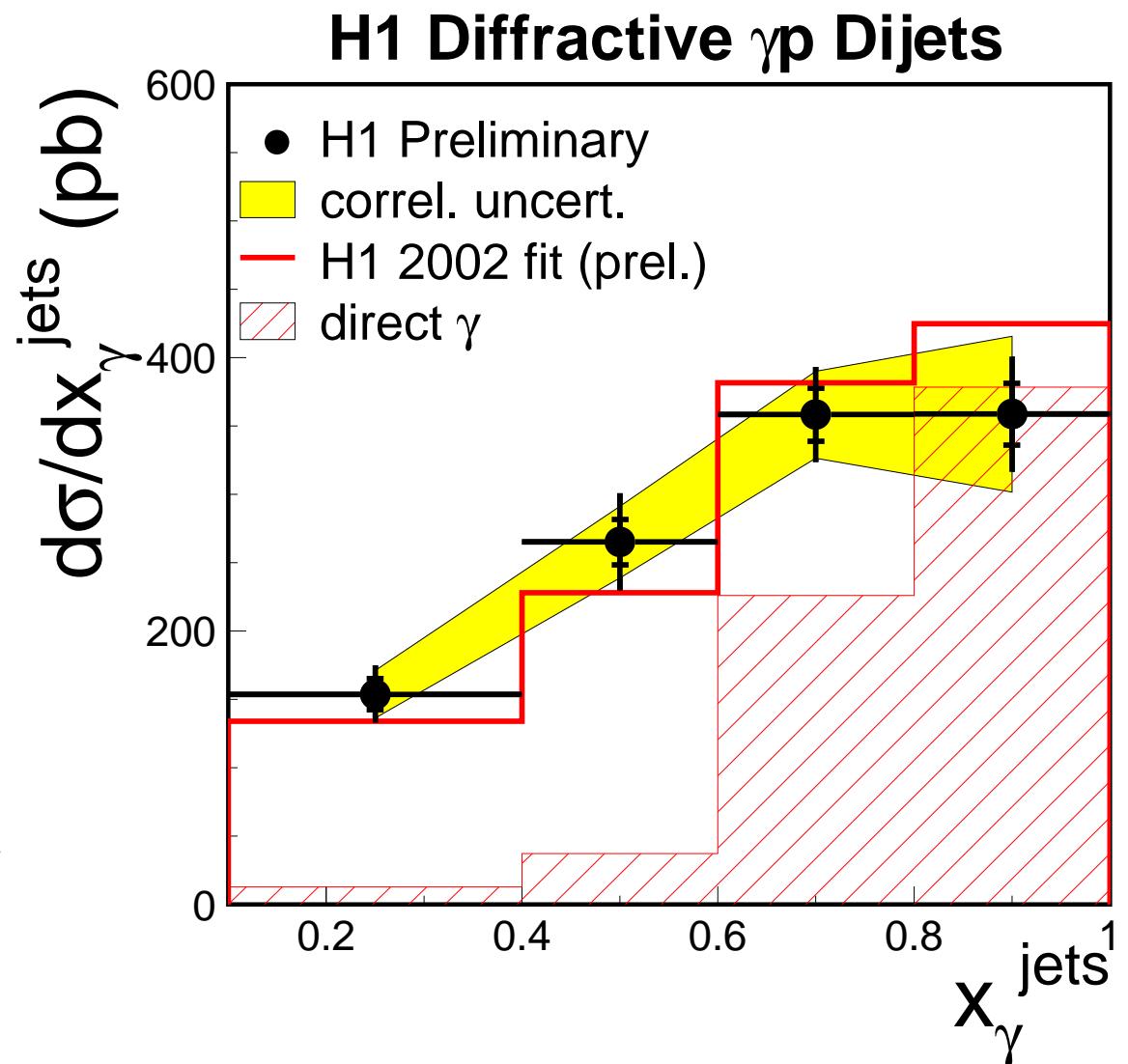
- Cross section as a function of  $x_\gamma$
- New 2002 fit describes direct and resolved contribution

Direct comparison DIS vs  $\gamma p$ :

$$\frac{\left(\frac{Model}{Data}\right)_{\gamma p}}{\left(\frac{Model}{Data}\right)_{DIS}} = 1.25 \pm 0.30 (\text{exp.})$$

Within uncertainties no suppression of  $\gamma p$  w.r.t. DIS diffractive jets

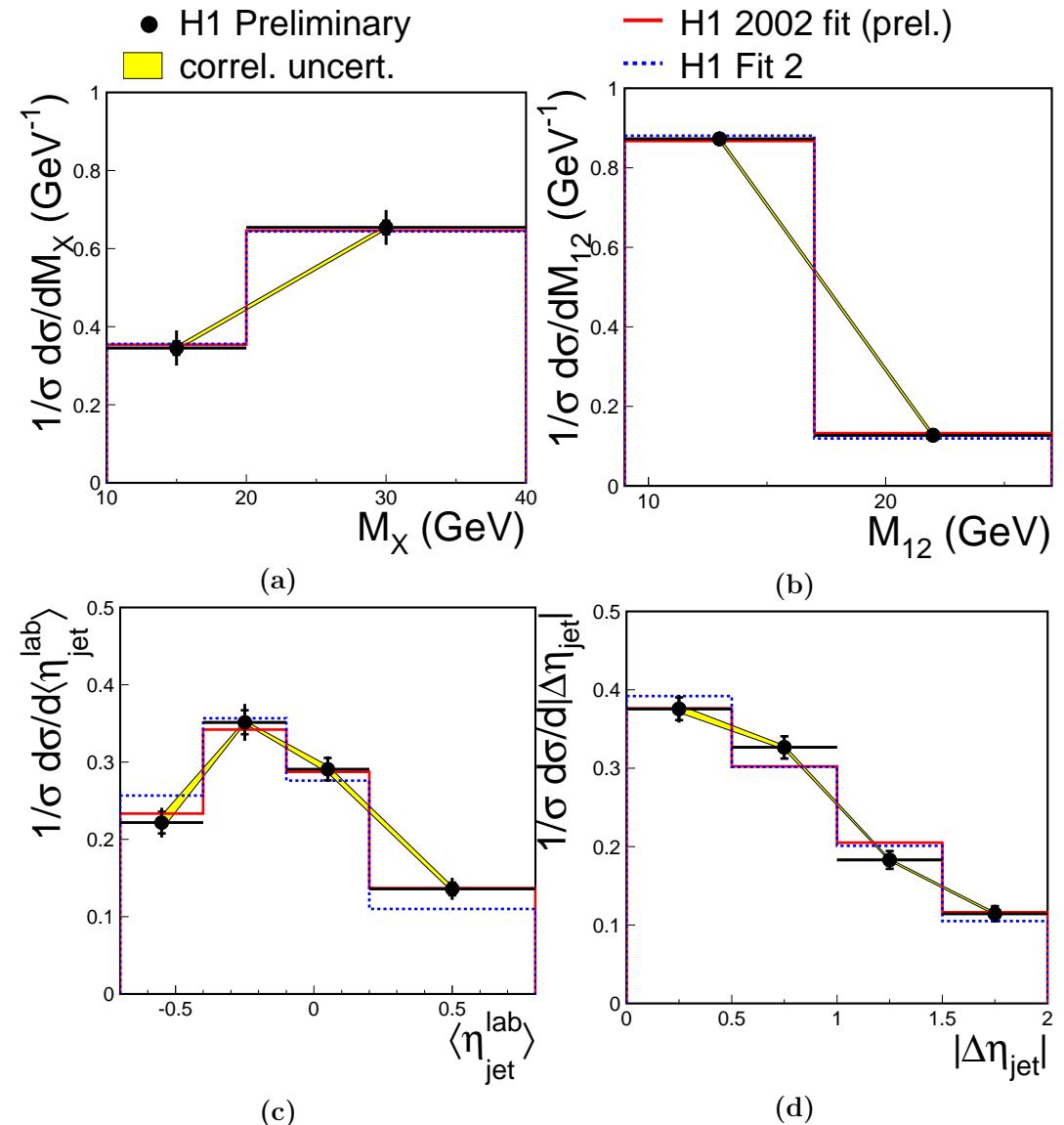
Independent of fit



# Dijets in Diffractive Photoproduction

- Normalized Cross sections:  
Compare shapes
- Well described

## H1 Diffractive $\gamma p$ Dijets



## Conclusions

### Diffractive DIS Jets and $D^*$ :

- Using 2002 NLO QCD fit to  $F_2^D$  ...
- For the first time, NLO predictions for diffractive DIS jets
- NLO Comparisons also for diffractive  $D^*$
  
- Reasonable agreement observed in shape and normalization
- NLO Corrections large for jets at low  $p_T$
- Still large scale uncertainties (20%), even at NLO
- Consistent with QCD factorization

### Diffractive Photoproduction Jets:

- Well described in shape and normalization by new 2002 LO fit (LO ME+PS)
- No significant suppression w.r.t DIS:  $1.25 \pm 0.30$
- Factorization even works in (resolved) photoproduction?!

## Backup: Correction of DIS Data to asymmetric jet cuts

